

# Prox-DU & Prox-SU

# **Dual interface USB smart card reader**

**Reference Manual (preliminary)** 



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Printed in France.

Release for first samples

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DOC118569A



#### **REVISION HISTORY**

Date	Release	Comments			
January 2010	Α	First release for pre-production run samples V1.01-GXD14 version and before			

riples For pre-production First release for pre-production

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# Introduction

This reference manual provides information on the use of the Prox–DU and the Prox–SU dual interface (contactless and contact) USB smart card reader/writer.

This document is applicable to following reference:

Model	Reference	Comments
Prox–DU	HWP118184	Dual interface USB smart card reader Contact & contactless
Prox-SU	HWP118185	Contactless interface USB smart card reader With optional SIM/SAM slot
Prox–DU with stand	HWP118830	A stand is added to the Prox–DU for vertical use
Prox–SU with stand	HWP118831	A stand is added to the Prox–SU for vertical use

Table 1 – Dual interface USB smart card reader/writer models

For information on installation, please refer to the "Installation Guide" document.

## Who Should Read This Book

This reference manual is designed for developers of PC/SC smart card application or driver. For driver design, familiarity with the USB protocol is recommended.

## **Conventions**

#### **Bit Numbering**

A byte consists of 8 bits, b7 to b0, where b7 is the most significant bit and b0 is the least significant bit.

One byte b7	b6	b5	b4	b3	b2	b1	b0
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#### **Byte Numbering**

A string of n bytes consists of n number of concatenated bytes: Bn...B3...B0.

Bn is the most significant byte and B0 is the least significant byte:

A string of n bytes	Bn	Bn-1	-	-	-	B2	B1	В0

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# **Overview**

# **Description**

The Prox–DU and the Prox–SU are Gemalto smart card reader/writers embedding the Prox and the GemCore technologies developed by Gemalto to interface contactless and contact smart cards:

 The Prox–DU is a dual interface (contact and contactless) USB smart card reader/writer:

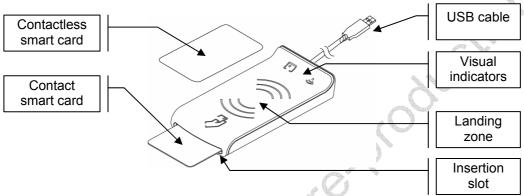


Figure 1 - Prox-DU view

 The Prox–SU is a contactless interface USB smart card reader including an internal SIM/SAM card slot:

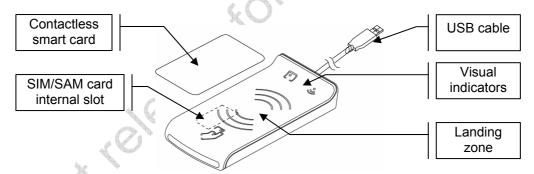


Figure 2 - Prox-SU view

The Prox technology complies with ISO14443 standard related to proximity cards applicable to type A and type B contactless smart cards.

The Prox technology uses MIFARE® (a registered trademark of NXP) technology as part of its integrated solution.

The GemCore technology complies with ISO7816 and EMV standard related to contact smart cards.

Both technologies also provide visual feedback for each smart card interface.

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## **Main Features**

The Prox–DU and The Prox–SU have the following common features:

- Up-to-date architecture using the Gemalto Prox and GemCore technologies
- Ability to drive any type of ISO14443-A&B T=CL contactless smart cards
- Ability to drive any type of MIFARE<sup>®</sup> contactless smart cards
- Ability to drive any type of ISO7816 contact smart card or SIM/SAM card
- Support for smart card with a higher baud rate (contact and contactless)
- Easily upgradeable download of the latest features
- Standard USB Full speed interface, bus powered (no external power supply required)
- Unique USB serial number which enables that the device can be plugged into any USB slot on a computer without having to re-install the driver
- Standard CCID interface for both smart card slot (contact and contactless)
- Standard HID interface for device administration
- No need of a proprietary USB driver. The standard CCID and HID drivers of the computer can be used
- PC/SC V2.0 compliant
- Embedded protection against dual interface smart card damage and switch feature to select the active interface (contact or contactless)

Prox and GemCore are Gemalto proprietary technologies developed for contactless and contact reader/writers. It is based on a specific operating system that interfaces with contactless and contact smart cards.

A stand can be attached to the reader/writer for vertical use:

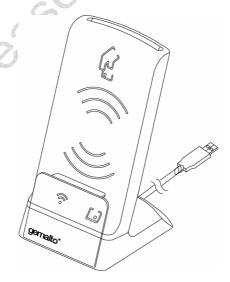


Figure 3 - Prox-DU with the stand for vertical use

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## Prox-DU and Prox-SU differences

The main difference between the Prox–DU and Prox–SU models is related to the smart card slot:

Prox–DU: the smart card slot located in the top cabinet is open. The user can insert
or remove its ID-1 size smart card directly into or from the reader slot



Figure 4 - Prox-DU ID-1 size slot

 Prox–SU: the smart card slot located in the top cabinet is closed. The user should open the casing before inserting its ID-000 size smart card into the dedicated connector. When the casing is closed the SIM/SAM card cannot be removed.



Figure 5 - Prox-SU ID-000 size slot

The following table shows all the different features supported by the Prox–DU and the Prox–SU reader/writers:

Feature	Prox–DU	Prox-SU	
Contact card interface	ID-1 size format (smart card) Removable	ID-000 size format (SIM/SAM) Not removable	
Dual interface protection	Managed Can be disabled according to device configuration	No management	
Switch interface command	Available	Not useful	
Product name in the string version	Gemalto Prox-DU	Gemalto Prox-SU	
Product string in USB descriptor	Prox Dual USB PC Link Reader	Prox SU USB PC Link Reader	
LEDs	The LED of the contact interface is blinking when no card is inserted	The LED of the contact interface is Off when no SIM/SAM is present	

Table 2: Prox-DU and Prox-SU differences

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# Smart card protection and switch feature

As the Prox–DU can interface two smart cards simultaneously, a dedicated protection system is included into the device to avoid to damage dual interface smart cards (both contact and contactless).

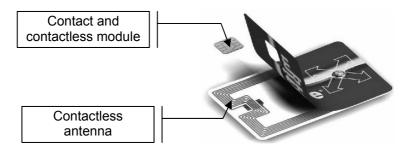


Figure 6 - Dual interface smart card view

To protect the smart card from undesired operation only one interface will be activated at a given time:

- The contact interface is deactivated when a contactless smart card is detected by the reader. The contact smart card power supply will be turned off to avoid powering the contact smart card.
- The contactless interface is deactivated when a contact card is detected by the reader. The RF field is turned off to avoid powering the contactless smart card.

The contactless interface will be activated again when the smart card is removed from the slot or when a dedicated **switch command** is send to the device by the application.

This feature will enable the user to communicate with the two interfaces without moving the smart card from the slot.

The dual interface smart card protection is enabled by default into the Prox–DU device. It can be disabled if needed by changing the reader/writer's configuration. When disabled the two smart card interfaces are available simultaneously.

Note: As it is not possible to have a dual interface SIM/SAM card, the smart card protection is not active with the Prox–SU device.

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# **Using Smart Cards**

The Prox–DU and Prox–SU reader/writers must be used with contactless and contact smart cards. Depending on the model, the following pictures show the different ways to use the smart cards:

The Prox-DU and a contactless smart card:

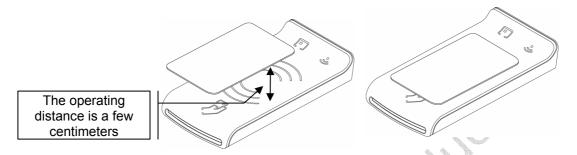


Figure 7 – The contactless smart card is put near or over the Prox–DU landing zone



Figure 8 – The contactless smart card is **not fully** inserted into the Prox–DU slot



Figure 9 – The contactless smart card is **fully** inserted into the Prox–DU slot (after the switch activation)

Note: if the contactless smart card is fully inserted into the slot, the contactless interface will be deactivated because the contact interface has a higher priority than the contactless interface. To activate the contactless smart card when it is fully inserted into the slot, the switch feature of the device should be activated. Refer to the switch feature paragraph below for more information.

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#### The Prox-DU and a contact smart card:



Figure 10 – The contact smart card is fully inserted into the Prox–DU slot

#### The Prox-SU and a contactless smart card:

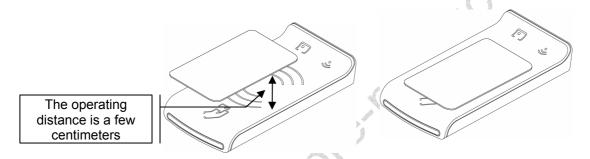


Figure 11 – The contactless smart card is put near or over the Prox–SU landing zone

#### The Prox-SU and a contact SIM/SAM card:



Figure 12 - The contact SIM/SAM card is inserted into the Prox-SU connector

Note: the SIM/SAM card cannot be installed or removed without opening the casing. The SIM/SAM card is permanently installed into the Prox–SU reader.

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# **Environmental Characteristics**

For an optimal performance, operate the Prox–DU and the Prox–SU under the following environment conditions:

Description	Value or Range					
Operating Temperature	0°C to +50°C (+32°F to +122°F)					
Storage Temperature	-20°C to +60°C (-4°F to +140°F)					
MTBF reliability	900,000 hours at 20°C (MIL-HDBK-217F grade GB)					
Humidity Range	0% to 95% non-condensing					
Protection Index	Prox–DU: IP20 (open-case device) Prox–SU: IP40 (dustproof device)					
EEPROM data	10 years minimum retention 100000 erase/write cycles					
Physical Dimensions	Without stand: 26 mm x 68 mm x 96 mm max. (height x width x depth)  With stand: 132 mm x 69 mm x 79 mm max. (height x width x depth)					
Weight	Without optional stand: 143 g With optional stand: 255 g					
Cabling Distance	1.80 m USB cable					
Power Supply Voltage	USB bus powered					
Power Supply Current Operating RF On	< 200 mA					
Power Supply Current Operating RF Off	< 50 mA					
Power Supply Current Suspend	< 2.5 mA					
EMC Regulations	CE FCC Part 15 Class B					
Safety	UL 60950 Recognized					
	Table 3 - Environmental Characteristics					

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# Interface capability

The Prox–DU and the Prox–SU devices support the following interfaces:

- One USB interface
- One contact smart card interface

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- One contactless smart card interface
- Two visual indicators

Depending on the smart card type, the connectors used will be as shown in the next table:

Interface	Prox–DU Connector type	Prox–SU Connector type
	Connector type	Connector type
USB	USB A plug	USB A plug
Contact card	ISO7810 ID-1 size	ISO7810 ID-000 size
Contact card	ISO7816-2 8 pins	ISO7816-2 8 pins
Contactless card	Landing zone	Landing zone
Contactiess card	(No connector)	(No connector)
Visual Indicators	One blue LED	One blue LED
visual indicators	One yellow LED	One yellow LED

Table 4 - Interface capability



# **Interface Features**

## **USB** serial interface

The USB interface is available with the Prox-DU and the Prox-SU.

The USB interface is USB 2.0 full speed compliant.

The USB interface is a **composite device** composed of the following devices:

- One USB Smart Card reader for the contact interface
- One USB Smart Card reader for the contactless interface
- One USB Human Interface Device for the reader administration



Figure 13 – USB devices (Windows XP example)

The USB interface does not requires a specific driver for use with various operating system.

The standard USB CCID driver included into the operating system of the computer is used for the smart card interface.

The standard USB HID driver included into the operating system of the computer is used for the device administration.

The selected suspend is not supported by the USB interface. Only standard suspend is supported.

## **Contactless interface**

The contactless interface is available with the Prox–DU and the Prox–SU and is composed of a landing zone located in the front cabinet defined by the following contactless logo:



Figure 14 - Contactless logo of the landing zone

The contactless antenna is integrated into the device. It consists of inductive loops and a matching circuit mounted into the printed circuit board.

This contactless interface complies with the ISO14443-A&B standard.

The characteristics for the contactless interface are as follows:

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- Contactless type:
  - o ISO14443-A Memory cards (MIFARE®)
  - o ISO14443-A Microprocessor cards (T=CL)
  - o ISO14443-B Microprocessor cards (T=CL)
  - Automatic scan between ISO14443-A and ISO14443-B
- · Contactless baud rate
  - o 106 kbps 212 kbps 424 kbps 848 kbps
- Contactless protocol
  - MIFARE<sup>®</sup> classic
  - o ISO14443-4 (T=CL)
- Contactless commands
  - o Compliant with PC/SC V2.0 Part 3 Revision 2.01.09 specifications

## **Contact interface**

The contact interface is available with the Prox–DU and the Prox–SU and is composed of a smart card connector with a smart card slot located in the front cabinet for the Prox–DU and located inside the casing for the Prox–SU.

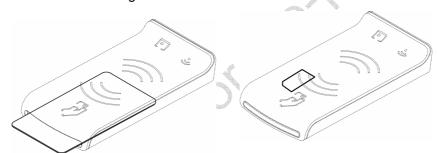


Figure 15 - Contact card slot (Prox-DU and Prox-SU)

The characteristics for the contact interface are as follows:

- Card type:
  - Asynchronous (Microcontroller based)
- Card size:
  - o ID-1 for the Prox–DU (full size form factor)
  - ID-000 for the Prox–SU (SIM/SAM form factor)

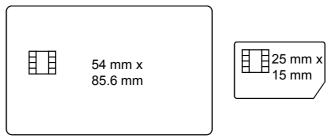


Figure 16 - ID-1 and ID-000 card size

- · Card baud rate:
  - o up to 500 kbps (TA1 = 97h for a clock frequency of 4 MHz)

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- · Card protocol:
  - o T=0 and T=1 protocols
- Card compliance:
  - EMV and PC/SC modes. The interface is compliant with the EMV2000 version 4.0 specifications.
  - ISO 7816-3 and -4 and ability to supply the cards with 5 V, 3 V, or 1.8 V (Class A, B, or C cards respectively)
  - IAS version 1.0 certified (Identification, Authentication & Signature)

## **LED** interface

Two LEDs are available as visual indicators:

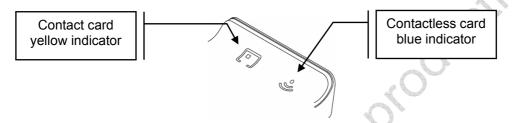


Figure 17 - Visual indicators

The indicators give information about the smart card interface as described in the next table:

Card Indicator	Description
Off	The card interface is deactivated
Slow Blink (0,2 s / 2 s)	The card interface is ready
Blink (0,5 s / 1 s )	The card is present and inactive (powered off)
On	The card is present and active (powered on)
Flashing	The card communication is in progress

Note: When no SIM/SAM card is inserted into the Prox–SU, the yellow indicator will always be off

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# Installing the reader/writer

When the USB cable is plugged into the USB port of the computer:

- The two LED indicators will blink to indicate the user that it has started properly,
- The installation wizard of the operating system will appear.

Follow the on-screen instructions, the wizard will automatically install the drivers required by the operating system.

The following table shows the driver to consider regarding the operating system:

Operating system	CCID driver	HID driver
Microsoft Windows 2000	Windows Update	Inbox
Microsoft Windows XP 32/64 bits	Windows Update	Inbox
Microsoft Windows Vista 32/64 bits	Inbox	Inbox
Microsoft Windows Seven 32/64 bits	Inbox	Inbox
Microsoft Windows CE 5.0 & 6.0	Upon request	Inbox
Microsoft Windows CE 6.0R2	Inbox	Inbox
Linux Debian distribution	libccid library: The USB CCID device is supported by the libccid library. This library provides a PC/SC IFD handler implementation for the USB smart card interface devices compliant to the CCID protocol (CCID driver V1.3.11 minimum). This library is packaged and distributed by most of the Linux distributions. Tips: Use the package manager from your specific Linux distribution to search for the libccid library and install it. libccid source code: It is available on the following web site: http://pcsclite.alioth.debian.org/ccid.html	Inbox
Linux Red Hat distribution	Use the latest CCID package (CCID driver V1.3.11 minimum). If not operating, use the Debian source code	Inbox
Linux Ubuntu distribution	Use the latest CCID package (CCID driver V1.3.11 minimum). If not operating, use the Debian source code	Inbox
Mac OS X Tiger (10.4)	Use the latest CCID package (CCID driver V1.3.11 minimum) available in the web site <a href="http://support.gemalto.com">http://support.gemalto.com</a>	Inbox
Mac OS X Leopard (10.5)	Use the latest CCID package (CCID driver V1.3.11 minimum) available in	Inbox

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#### Reference Manual

Preliminary

#### Prox-DU & Prox-SU

	the web site	
	http://support.gemalto.com	
Note that Gemalto is actively involved i	n the development and improvement of	the Linux and

Note that Gemalto is actively involved in the development and improvement of the Linux and Mac libraries.

Note that all the drivers needed for the Prox–DU and the Prox–SU reader/writer are the standard drivers available into the operating system. No Gemalto proprietary drivers are needed, Microsoft Windows CE R5.0 & R6.0 except.

If needed the following web link <a href="http://support.gemalto.com">http://support.gemalto.com</a> will give instructions how to get these drivers.

rindows ) The next paragraph will detail the installation wizard for Microsoft Windows XP operating

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## Windows XP installation

The HID driver is always available in all the operating systems and the HID device will be installed automatically.

If the CCID driver is available in the operating system, the two CCID devices will be installed automatically, as described in the next paragraph "Windows XP installation without the Windows Update procedure".

If the CCID driver is not available in the operating system, the two CCID devices will be installed after the Windows Update procedure, as described in the next paragraph "Windows XP installation using the Windows Update procedure".

# Windows XP installation without the Windows Update procedure

These installation steps will be effective only if the USB CCID diver is available in the operating system.

When the USB cable is plugged into the USB port of the computer the following popup dialog boxes will be successively displayed over the task bar:



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Figure 18 - Prox-DU Installation popup dialog boxes

Your Prox-DU or Prox-SU device is now ready to use.

Note: the popup dialog boxes will only appear the first time the device is connected to the computer.

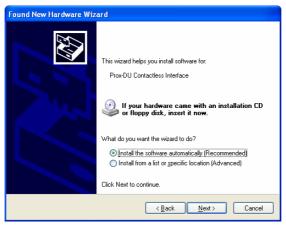
# Windows XP installation using the Windows Update procedure

These installation steps will be effective if the USB CCID diver not available in the operating system.

When the USB cable is plugged into the USB port of the computer the following wizard will appear:



- Click the "Yes, this time only" button to start the Windows Update procedure
- Click the "Next" button to continue



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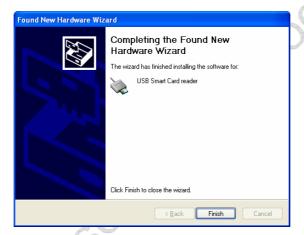
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- Click the "Install the software automatically (Recommended)" button
- Click the "Next" button to continue



The Windows Update procedure will be running. Wait until the installation is completed:



Now the installation is finished. Your Prox–DU or Prox–SU device is ready to use.

Note: depending on the network configuration, the Windows Update procedure can take a long time. Please wait until the end of the procedure.



# Checking the installation

To check if all the drivers have been properly installed, perform the following steps:

Check that the devices are recognized by the Device Manager (Windows XP):

- a) Right click the "My Computer" icon on the Desktop
- b) Select the "Properties" menu
- c) Select the "Hardware" tab
- d) Click the "Device Manager" button
- e) Click the "Smart card readers" icon

Two "USB Smart Card reader" icons should be displayed as shown in the next figure:

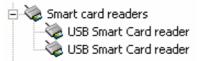


Figure 19 – USB smart card reader icons in the Device Manager window (Windows XP)

f) Click the "Human Interface Devices" icon

Two "HID devices" icons should be displayed as shown in the next figure:



Figure 20 – USB HID icons in the Device Manager window (Windows XP)

# Checking the smart card detection

To check if the Prox–DU or the Prox–SU reader/writer is able to detect contactless smart cards put a smart card near the reader/writer's antenna:

The blue LED should be set to an enlightened state.

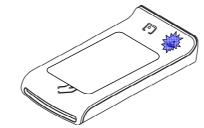


Figure 21 – Contactless smart card check

Note: The blue LED should return to the blinking state after a short time depending on the computer operating system. The smart card used for the check should be of course ISO14443–A or ISO14443–B compliant. Otherwise, no LED change will occur.

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To check if the Prox–DU reader/writer is able to detect contact smart cards insert a smart card into the reader/writer's slot:

The yellow LED should be set to an enlightened state.



Figure 22 - Contact smart card check

Note: The yellow LED should return to the blinking state after a short time depending on the computer operating system. The smart card used for the check should be of course ISO7816-3 compliant. Otherwise, no LED change will occur.

The second test is not available for the Prox–SU model.

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# Configuring the reader/writer

The Prox–DU and Prox–SU device's configuration is stored into an internal EEPROM memory specifying various parameters used by the devices for their operation.

For normal use it is not needed to modify the reader/writer's configuration.

These parameters can be modified if needed using the "Write EEPROM" and "Read EEPROM" commands supported by the HID interface. Refer to the "Proprietary commands on the HID interface" paragraph for more information.

Note: Special care should be considered on the understanding of the parameters. A modified value may result in an unexpected operation of the Prox–DU and Prox–SU device if the parameter is not known by the user.

# **EEPROM parameters contents**

The next table shows the EEPROM parameters contents:

Offset	Usage	Default Value					
Control parameters							
0 EEPROM structure version 01h							
General parameters							
1	Dual interface card protection	00h					
2	Card notification delay (x 100 ms) 01h						
3	Communication time-out with GemCore POS Pro (x10 sec)	11h					
4 to 8	RFU (5 bytes)	00h					
	Contactless automaton parameters						
9	Automaton timing	11h					
10	Extended ATQB support	00h					
11	ISO level preference	04h					
12	Allowed bit rates list	B3h					
13	=CL card presence check behavior 00h						
14 to 16	RFU (3 bytes)	00h					
Miscellaneous parameters							
17	Reserved for test	FFh					
18 to 24	RFU (7 bytes)	00h					
	General RF parameters						
25	RF reset time	00h					
26	RF on delay 00h						
27	RF parameters use	00h					
28	RF power attenuation 00h						
29 to 32	29 to 32 RFU (4 bytes) 00h						
	RF parameters for ISO14443-A cards						
33	RxThreshold for 106 kbps	84h					
34	RxThreshold for 212 kbps	84h					
35	RxThreshold for 424 kbps	84h					
36	RxThreshold for 848 kbps	84h					

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37	RFCfg 5						
38	ТуреВ	00h					
39	GsN F8h						
40	CWGsP	3Fh					
41	ModGsP	3Fh					
42 to 48	RFU (7 bytes)	00h					
	RF parameters for ISO14443-B cards						
49	RxThreshold for 106 kbps	84h					
50	RxThreshold for 212 kbps 84h						
51	RxThreshold for 424 kbps 84h						
52	RxThreshold for 848 kbps 84h						
53	RFCfg 58h						
54	TypeB D2h						
55	GsN	F8h					
56	CWGsP 3Fh						
57	ModGsP 15h						
58 to 64	RFU (7 bytes) 00h						
	EEPROM parameters Validity						
65	CRC control 0Bh						

Table 5: EEPROM parameters contents

### **Control parameters**

### **EEPROM structure version**

This byte defines the structure of the parameters in the EEPROM.

At start up, if the structure version is not the same than the structure version known by the firmware, the EEPROM is reinitialized.

## **General parameters**

These bytes define the general behavior of the device.

#### **Dual interface card protection**

This byte defines the dual interface card protection (for Prox–DU only):

00h: protection on 01h: protection off

When the Dual interface card protection is on:

The contact smart card cannot be supplied with a VCC voltage while the RF field is on.

The RF field cannot be set on while a contact smart card is supplied with a VCC voltage.

Note: The Prox-SU reader does not use this parameter.

#### **Card notification delay**

This byte defines the minimum time between the notifications of card movement:

Time unit = 100 ms

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#### Communication time out with GemCore POS Pro

This byte defines the internal communication time-out with the GemCore POS Pro chip controlling the contact interface:

Time unit = 10 seconds

### Contactless automaton parameters

#### **Automaton timing**

This byte defines the timing of the contactless automaton:

b0-b3: periodic time to search or check for a card presence (unit = 100 ms)

0.1 sec to 1.6 sec (0 is not allowed)

b7-b4: release time after the last host command (unit = 1 second)

1 to 16 sec (0 is not allowed)

#### **Extended ATQB support**

This byte defines the Extended ATQB support option as defined in the ISO14443 standard:

00h: Extended ATQB is not supported 01h: Extended ATQB is supported

When this byte = 01h, the information "Extended ATQB supported" is transmitted to the ISO14443-B contactless card.

#### **ISO level Preference**

This byte defines the preferred level of activation for the ISO14443-A cards that are compliant to the ISO14443-4:

04h: ISO level 4 preference 03h: ISO level 3 preference

When this byte is set to 03h, The ISO144443-4 compliant cards will be activated up to the SELECT command (the RATS will not be executed). This setting is useful to use MIFARE $^{\otimes}$  commands with the cards supporting both T=CL protocol and MIFARE $^{\otimes}$  emulation.

#### Allowed bit rates

This byte defines the list of bit rates allowed for the contactless interface to perform a PPS (ISO14443-A card) or an ATTRIB command (ISO14443-B card).

The reader will select the highest allowed bit rate that is also supported by the card.

A PPS will be executed only if the ISO14443-A card is compliant to ISO level 4 and if the selected bit rate is higher than 106 kbps.

b7	b6	b5	b4	b3	b2	b1	b0	Bit rate
Х	х	Х	Х	0	Х	Х	1	212 kbps reader to card allowed
Х	Х	Х	Х	0	Х	1	Х	424 kbps reader to card allowed
Х	Х	Х	Х	0	1	Х	Х	848 kbps reader to card allowed
Х	Х	Х	1	0	Х	Х	Х	212 kbps card to reader allowed
Х	Х	1	Х	0	Х	Х	Х	424 kbps card to reader allowed
х	1	х	Х	0	Х	Х	Х	848 kbps card to reader allowed
1	х	х	х	х	х	х	х	Only the same bit rate for both direction is allowed

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0	х	х	х	х	х	х	х	Different bit rate for both direction is allowed (do not used this setting)
---	---	---	---	---	---	---	---	---

Note: The list of bit rate reader to card (b2-b0) and card to reader (b6-b4) must be the same.

#### T=CL card presence check behavior

This byte is used only for test purpose:

00h: the first APDU command to check a T=CL card present is send (normal

behavior)

01h: the first APDU command to check a T=CL card present is not used

Note: the first APDU command has the following format: 00h A4h 00h 00h 00h

## Miscellaneous parameters

These bytes are reserved for test purpose. Do not modify them.

### **General parameters**

#### **RF Reset time**

This byte defines the time while the RF field is turn off for a RF reset:

00h : default value is used

01h to FFh : time = 5 to 1275 ms (unit = 5 ms)

#### RF On Delay

This byte defines the delay for the first card command after the RF field is turn on:

00h : default value is used

01h to FFh : time = 5 to 1275 ms (unit = 5 ms)

#### **RF Parameters Usage**

This byte defines the usage of the RF Parameters for ISO14443-A and ISO14443-B:

00h: default values are used

01h: user defined values in RF parameters for type A and Type B card are used.

(RxThreshold, RFCfg, TypeB)

Note: The user defined values must be used only for tuning purpose.

#### **RF Power Attenuation**

The byte defines the RF power Attenuation or the user defined values for the antenna output driver conductance:

00h: 0 dB 01h: -1 dB 02h: -2 dB 03h: -3 dB

0Fh: user defined values for the antenna output driver conductance are used.

(GsN, CWGsP and ModGsP)

Note: The user defined values must be used only for tuning purpose.

## RF parameters for ISO14443-A cards

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These parameters are used only for tuning purpose to communicate with an ISO14443-A

RxThreshold, RFCfg, TypeB parameters are considered only when RF Parameters Use =

GsN, CWGsP and ModGsP parameters are considered only when RF Power Attenuation = 0Fh.

To configure these parameters refer to the MFRC523 contactless controller IC documentation.

### RF parameters for ISO14443-B cards

These parameters are used only for tuning purpose to communicate with an ISO14443-B

RxThreshold, RFCfg, TypeB parameters are considered only when RF Parameters Use =

GsN, CWGsP and ModGsP parameters are considered only when RF Power Attenuation = 0Fh.

To configure these parameters refer to the MFRC523 contactless controller IC documentation

## **EEPROM Parameters Validity**

#### **CRC** control

This byte controls the EEPROM parameters validity:

MAD CRC algorithm is used to compute the CRC value from offset 0 to 64.

At startup, if the EEPROM parameters validity is not correct, all the parameters are set to their default value.

That CRC should be updated at each modification into the EEPROM.

#### MAD CRC calculation program

The following lines are an example of MAD CRC calculation program:

```
/***************************
```

- \* Prototype: unsigned char ucMadCrc( unsigned char uc len,
- unsigned char \*\_puc\_in,
- unsigned char \*\_puc\_out );
- \* Description: This is the function to calculate the CRC
- \* If the last byte on input is the supposed CRC of the preceding bytes:
- \* the result will be 0 if this CRC is correct.
- \* Parameters :
- \* unsigned char \_uc\_len number of bytes to compute CRC
- unsigned char \*\_puc\_in pointer to first byte
- \* unsigned char \* puc out pointer to store CRC computed
- \* Response :

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- \* ERR OK compute CRC is OK
- ERR MAD CRC compute CRC is not OK
- (last byte on input must be the supposed CRC of the preceding bytes)

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```
Globals:
Ressources
(Use):
(Modify):
(Call) Internals:
    Externals:
*Remarks:
             *************************
unsigned char ucMadCrc( unsigned char _uc_len,
                        unsigned char *_puc_in,
                        unsigned char *_puc_out )
 unsigned char u_i;
 unsigned char u_j;
 unsigned char uc_status;
 uc_status = ERR_OK;
  *_puc_out = 0xC7; // bit-swapped 0xE3
  for (u_j = 0; u_j < uc_len; u_j++)
   *_puc_out = *_puc_out ^ _puc_in[u_j];
   for (u_i = 0; u_i < 8; u_i++)
    if (* puc out & 0x80)
       _{\text{puc}} out = (* _{\text{puc}} out << 1) ^ 0x1D;
    else
  if (*_puc_out)
   uc status = ERR MAD CRC;
 return (uc_status); // 0x00 if last byte is the CRC of the previous bytes
```



# **Using PC/SC application**

# **PC/SC Overview**

The PC/SC specification describes the minimum functionality required of smart cards, smart card readers, and PCs to allow interoperability among compliant elements as provided by a variety of vendors.

The specification as a whole seeks to achieve the following objectives:

- Maintain consistency with existing smart card-related and PC-related standards while expanding upon them where necessary and practical.
- Enable interoperability among components running on various platforms (platform neutral).
- Enable applications to take advantage of products and components from multiple manufacturers (vendor neutral).
- Enable the use of advances in technology without rewriting application-level software (application neutral).
- Facilitate the development of standards for application-level interfaces to smart card services in order to enhance the fielding of a broad range of smart card-based applications in the PC environment.
- Support an environment that encourages the widest possible use of smart cards as an adjunct to the PC environment.

The next figure shows the PC/SC architecture:

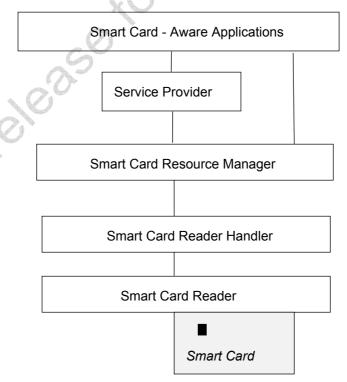


Figure 23 - PC/SC Architecture

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The Prox–DU and the Prox–SU devices were designed to be fully compliant with the latest PC/SC specification.

The software design considerations presented in the PC/SC specification address the development of applications built on the architecture presented in the figure below.

This paragraph describes the way Smart Card-aware applications can use the functionality provided by the smart card. By using the Smart Card Resource Manager and the Service Provider layers, an application can use smart card functionality with some level of independence from a specific reader, or to some extent, from a specific smart card.

Standard PC/SC functions are listed the following tables:

Smart Card Database Query Functions: Query the smart card database		
SCardGetProviderId	Retrieve the identifier (GUID) of the primary service provider for the given card	
SCardListCards	Retrieve a list of cards previously introduced to the system by a specific user	
SCardListInterfaces	Retrieve the identifiers (GUIDs) of the interfaces supplied by a given card	
SCardListReaderGroups	Retrieve a list of reader groups that have previously been introduced to the system	
SCardListReaders	Retrieve the list of readers within a set of named reader groups	

Table 6 - Smart Card Database Query Functions

Smart Card Database Management Functions: Manage the smart card database		
SCardAddReaderToGroup	Add a reader to a reader group	
SCardForgetCardType	Remove a smart card from the system	
SCardForgetReader	Remove a reader from the system	
SCardForgetReaderGroup	Remove a reader group from the system	
SCardIntroduceCardType	Introduce a new card to the system	
SCardIntroduceReader	Introduce a new reader to the system	
SCardIntroduceReaderGroup	Introduce a new reader group to the system	
SCardRemoveReaderFromGroup	Remove a reader from a reader group	

Table 7 - Smart Card Database Management Functions

Resource Manager Context Functions: Manage the context of the resource manager's database operations		
SCardEstablishContext Establishes a context for accessing the smart card database		
SCardReleaseContext Closes an established context		

Table 8 - Resource Manager Context Functions

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Resource Manager Support Function: Release allocated memory		
	Release memory returned through the use of SCARD_AUTOALLOCATE	

Table 9 - Resource Manager Support Function

Smart Card Tracking Functions: Track smart cards within readers		
SCardLocateCards Search for a card whose ATR string mate supplied card name		
SCardGetStatusChange	Block execution until the current availability of cards changes	
SCardCancel	Terminate outstanding actions	

Table 10 - Smart Card Tracking Functions

Smart Card and Reader Access Functions: Connect to and communicate with a smart card, including transferring data using <i>T</i> =0, <i>T</i> =1, and raw protocols			
SCardConnect	Connect to a card		
SCardReconnect	Reestablish a connection		
SCardDisconnect	Terminate a connection		
SCardBeginTransaction	Start a transaction, blocking other applications from accessing a card		
SCardEndTransaction	End a transaction, allowing other applications to access a card		
SCardStatus	Provide the current status of the reader		
SCardTransmit	Requests service and receives data back from a card using T=0, T=1, and raw protocols		

Table 11 - Smart Card and Reader Access Functions

Direct Card Access Functions: Communicate with cards that may not conform to the ISO 7816 specifications		
SCardControl Provide direct control of the reader		
SCardGetAttrib	Get reader attributes	
SCardSetAttrib	Set reader attribute	

Table 12 - Direct Card Access Functions

To get more information about these commands please refer to the MSDN website: <a href="http://msdn.microsoft.com">http://msdn.microsoft.com</a>.



# Gem\_PC/SC software tool

The Gemalto Gem\_PCSC tool may help to become familiar with the PC/SC environment:

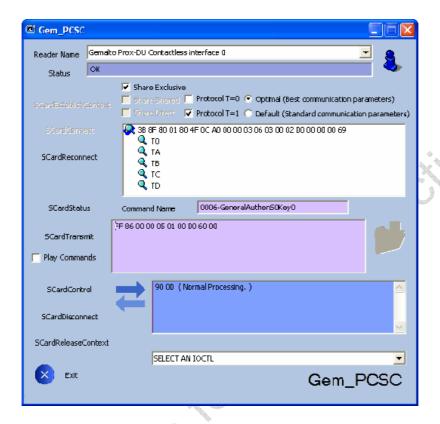


Figure 24 - Gem\_PCSC window

The Gem\_PCSC tool is available for download in the Gemalto support website: http://support.gemalto.com.



## Prox-DU and Prox-SU PC/SC reader name

The Prox–DU and the Prox–SU device will be recognized using their PC/SC name.

The name will comply with the following string format:

- "Gemalto Prox-DU Contactless Interface N1" for the Prox-DU contactless interface
- "Gemalto Prox-DU Contact Interface N2" for the Prox-DU contact interface
- "Gemalto Prox-SU Contactless Interface N3" for the Prox-SU contactless interface
- "Gemalto Prox-SU Contact Interface N4" for the Prox-SU contact interface

N1, N2, N3, N4 are numbers delivered by the computer.

The next figure displays the name for one Prox-DU connected to the computer:

Gemalto Prox-DU Contactless Interface 0
Gemalto Prox-DU Contact Interface 1

Figure 25 – Prox–DU PC/SC name

The next figure displays the name for one Prox-SU connected to the computer:

Gemalto Prox-SU Contactless Interface 0
Gemalto Prox-SU Contact Interface 1

Figure 26 - Prox-SU PC/SC name

The next figure displays the name for one Prox–DU and one Prox–SU both connected to the computer:

Gemalto Prox-DU Contactless Interface 0 Gemalto Prox-DU Contact Interface 1 Gemalto Prox-SU Contactless Interface 2 Gemalto Prox-SU Contact Interface 3

Figure 27 - Prox-DU and Prox-SU PC/SC names

The next figure displays the name for two Prox–DU devices both connected to the computer:

Gemalto Prox-DU Contactless Interface 0
Gemalto Prox-DU Contact Interface 1
Gemalto Prox-DU Contactless Interface 2
Gemalto Prox-DU Contact Interface 3

Figure 28 - Two Prox-DU PC/SC names



The two first names belong to the first Prox–DU device. The two next names belong to the second Prox-DU device.

Note: The application should use the name of the device for connecting the appropriate smart card interface.

# **PC/SC limitations**

The Prox–DU and the Prox–SU devices have the following limitations:

The contactless interface will only support the T=1 protocol.

Consequently any connection requiring the T=0 protocol will not be accepted by the contactless interface.

Multi-activation of contactless smart cards is not supported.

Consequently the first smart card detected in front of the reader/writer will be activated. The remaining smart cards will be ignored.

The communication with the contactless interface and the contact interface shall be exclusive.

.wo inte Consequently the application shall not use the two interfaces simultaneously. Else communication errors can occur.

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# **Interfacing with Contactless Cards**

As defined in the PC/SC V2.0 specifications, the Prox–DU and the Prox–SU devices handle all the ISO7816-4 Inter Industry commands to interface ISO14443 contactless smart cards.

The Prox–DU and the Prox–SU devices support both type ISO14443-A and ISO14443-B cards.

In addition the Prox–DU and the Prox–SU devices will poll the field for the following smart card events:

- Insertion
- Removal

# **Detecting an insertion**

The contactless reader/writer periodically sends out commands to poll the RF field. If a smart card comes within the range of the RF field, the contactless reader/writer detects the card and activates it.

When the card is activated, its properties are recorded and an insertion event is generated.

The ISO14443 contactless smart card will be activated using the reader parameters stored into the device's configuration EEPROM.

ISO14443-A and ISO14443-B cards are polled with a default periodic rate of 100 ms.

Note: Multi-activation of contactless smart cards is not supported by the Prox–DU and the Prox–SU devices. The first smart card detected in front of the reader/writer will be activated.

When a smart card insertion is detected, a CCID insertion notification message will be generated and the blue LED of the contactless reader/writer will be set to an enlightened steady state.

# **Detecting a removal**

A smart card being removed from the field is detected by the contactless reader/writer.

The contactless reader/writer polls for an ISO14443-3 (MIFARE®) smart card by periodically accessing the smart card during periods when there is no communication between the reader/writer and the card.

The contactless reader/writer polls for an ISO14443-4 (T=CL) smart card by periodically sending negative acknowledge frames to the smart card expecting, either a positive acknowledge or the last I-block to be repeated (according to the ISO14443-4 standards).

When a smart card removal is detected, a CCID removal notification message will be generated and the blue LED of the contactless reader/writer will blink slowly.

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# ATR for contactless smart cards

The Answer To Request (ATR) returned by an ISO14443-A or ISO14443-B smart card is compliant with the PC/SC V2.0 Part 3 Revision 2.01.09 specifications.

The ATR is as follows:

Byte Number	Value	Designation	Description
0	3Bh	Initial header	
1	8Nh	ТО	<ul> <li>Higher nibble 8 means no TA1, TB1, TC1 only TD1 is following.</li> <li>Lower nibble N is the number of historical bytes (HistByte 0 to HistByte N-1).</li> </ul>
2	80h	TD1	<ul> <li>Higher nibble 8 means no TA2, TB2, TC2 only TD2 is following.</li> <li>Lower nibble 0 means T = 0.</li> </ul>
3	01h	TD2	<ul> <li>Higher nibble 0 means no TA3, TB3, TC3, TD3 following.</li> <li>Lower nibble 1 means T = 1.</li> </ul>
4 to 3+N	xx xx xx	T1  Tk	<ul> <li>Historical bytes:</li> <li>ISO14443A: The historical bytes from ATS response. Refer to the ISO14443-4 specification</li> <li>ISO14443B: Byte 1-4: Application Data from ATQB Byte 5-7: Protocol Info Byte from ATQB Byte 8: Higher nibble = MBLI from ATTRIB command. Lower nibble (RFU) = 0 Refer to the ISO14443-3 specification</li> </ul>
4 + N	UU	TCK	Exclusive-OR of bytes T0 to Tk

Table 13 - ATR for contactless Smart cards

The contactless smart card exposes its ATS or information bytes not directly, but via a specific ATR mapping. For those cards that provide such information, optionally with Historical Bytes (or Application Information respectively), the mapping in the table above applies.

The ATR returned by a DESFire smart card is:

3Bh 8Fh 80h 01h 80h 80h 65h B0h 07h 02h 02h 89h 83h 00h 90h 00h 00h 00h 46h

With:

n = Fh (15 historical bytes)

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Historical bytes from the ATS response = 80h 80h 65h B0h 07h 02h 02h 89h 83h 00h 90h 00h 00h 00h

UU = 46h (TCK)

• The ATR returned by a GemCombi Xpresso Lite R2 STD smart card will be:

3Bh 8Bh 80h 01h 80h 31h 80h 65h B0h 07h 02h 02h 89h 83h 00h E3h

With:

n = Bh (11 historical bytes)

Historical bytes from the ATS response = 80h 31h 80h 65h B0h 07h 02h 02h 89h 83h 00h

UU = E3h (TCK)

• The ATR returned by a GemCombi CDLite smart card will be:

3Bh 80h 80h 01h 01h

With

n = 0h (no historical byte)

UU = 01h (TCK)



# Interfacing with MIFARE® Cards

As defined in PC/SC V2.0 Part 3 Revision 2.01.09 specifications, the Prox-DU and the Prox-SU devices perform the appropriate mapping for memory smart card commands that consist of Inter Industry commands (and the exposed data structures) to memory card commands (and the associated data structures defined for the MIFARE® contactless memory smart cards).

The Prox–DU and the Prox–SU devices will handle the following ISO7816-4 Inter Industry commands to interface with MIFARE® 1K, MIFARE® 4K and MIFARE® Ultralight memory smart cards:

- Get Data: retrieves the UID or the historical bytes of the ATS of the inserted smart
- **Load Keys**: Load MIFARE® secret into the contactless reader/writer.
- General Authenticate: Perform an authentication between the contactless reader/writer and the MIFARE® memory smart cards.
- **Read Binary**: Read data from the MIFARE® memory smart cards. **Update Binary**: Write data to the MIFARE® memory smart cards.

The MIFARE® 1K is a 8-Kbit (1 Kbyte) MIFARE® memory contactless smart card arranged as 64 memory blocks as shown in the appendix "MIFARE® cards mapping".

The MIFARE® 4K is a 32-Kbit (8 Kbytes) MIFARE® memory contactless smart card arranged as 256 memory blocks as shown in the appendix "MIFARE® cards mapping".

The MIFARE® Ultralight is a 512-bit (64 bytes) MIFARE® memory contactless smart card arranged as 16 memory pages as shown in the appendix "MIFARE® cards mapping".

# ATR for MIFARE® cards

The Answer To Request (ATR) returned by a MIFARE® card is compliant with PC/SC V2.0 Part 3 Revision 2.01.09 specifications.

The ATR will be as follows:

Byte Number	Value	Designatio n	Description
0	3Bh	Initial header	
1	8Nh	ТО	<ul> <li>Higher nibble 8 means no TA1, TB1, TC1 only TD1 is following.</li> <li>Lower nibble N is the number of historical bytes (HistByte 0 to HistByte N-1).</li> </ul>
2	80h	TD1	<ul> <li>Higher nibble 8 means no TA2, TB2, TC2 only TD2 is following.</li> <li>Lower nibble 0 means T = 0.</li> </ul>

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3	01h	TD2	<ul> <li>Higher nibble 0 means no TA3, TB3, TC3, TD3 following.</li> <li>Lower nibble 1 means T = 1.</li> </ul>
	80h 4Fh		Category indicator byte, 80h means a status indicator may be present in an optional COMPACT-TLV data object.
	LL 4FII		Application identifier presence indicator  Length
	A0h		Longar
	00h		5 bytes for registered application provider
	00h	T1 	identifier (RID)
	03h		
4 to 2+n	06h		
	SS		1 byte for Standard
	NN	Tk	2 bytes for Card Name
	NN		2 bytos for sura reams
	00h		01
	00h		RFU: Shall be set to zero.
	00h		Assigned by PC/SC for future extensions.
	00h		
3 + n	UU	TCK	Exclusive-OR of bytes T0 to Tk

Table 14 – ATR for MIFARE® cards

The ATR of a contactless storage card is structured in the manner described in the table above. In order to allow the application to identify a storage card type properly, its Standard and Card Name bytes must be interpreted according to the following tables:

	b7	b6	b5	b4	b3	b2	b1	b0	Description
	0	0	0	0	0	0	0	0	No information given
	0	0	0	0	0	0	1	1	ISO14443-A, part 3
> 0	0	0	0	0	0	1	0	0	ISO14443-A, part 4
							RFU		
	1	1	1	1	1	1	1	1	RFU

Table 15 – SS Byte for Standard

Card Name	Two bytes identifier
MIFARE® Standard 1K	00h 01h
MIFARE® Standard 4K	00h 02h
MIFARE® Ultralight	00h 03h

Table 16 – NN Bytes for Card Name

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• The ATR returned by a MIFARE® Standard 1K will be:

3Bh 8Fh 80h 01h 80h 4Fh 0Ch A0h 00h 00h 03h 06h 03h 00h 01h 00h 00h 00h 6Ah

With:

LL = 0Ch (12 bytes)

SS = 03h (ISO14443-A, part 3)

NN NN = 00h 01h (MIFARE® Standard 1K)

UU = 6Ah (TCK)

The ATR returned by a MIFARE<sup>®</sup> Standard 4K will be:

3Bh 8Fh 80h 01h 80h 4Fh 0Ch A0h 00h 00h 03h 06h 03h 00h 02h 00h 00h 00h 69h

With:

LL = 0Ch (12 bytes)

SS = 03h (ISO14443-A, part 3)

NN NN = 00h 02h (MIFARE® Standard 4K)

UU = 69h (TCK)

• The ATR returned by a MIFARE® Ultralight will be:

3Bh 8Fh 80h 01h 80h 4Fh 0Ch A0h 00h 00h 03h 06h 03h 00h 03h 00h 00h 00h 00h 68h

With:

LL = 0Ch (12 bytes)

SS = 03h (ISO14443-A, part 3)

NN NN = 00h 03h (MIFARE® Ultralight)

UU = 68h (TCK)

# **Get Data command**

This command is used to retrieve information about the inserted smart card. This command can be used for all kinds of contactless cards.

The command is formatted as follows:

CLA	INS	P1	P2	Lc	Data	Le
FFh	CAh	INF	00h	-	-	NN
1 byte	1 byte	1 byte	1 byte	-	-	1 byte

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The response is formatted as follows:

Data	SW1	SW2
NN bytes	1 byte	1 byte

Where:

INF Info type INF = 00h means: Card serial

number (UID or PUPI) is returned INF = 01h means: All historical bytes from the ATS of a ISO14443 A card

without CRC are returned

NN Expected length of the data

NN = 00h means: Return full length of the UID (e.g. for ISO14443-A single 4 bytes, double 7 bytes, triple 10 bytes, for ISO14443-B 4 bytes

PUPI)

Data Serial Number

For ISO14443-A smart cards:

UID0-UID1-UID2-UID3 or

UID0-UID1-UID2-UID3-UID4-UID5-

UID6 or

UID0-UID1-UID2-UID3-UID4-UID5-

UID6-UID7-UID8-UID9

For ISO14443-B smart cards: PUPI3-PUPI2-PUPI1-PUPI0

The UID is exposed as a string of the expected length. If the expected length is greater than the actual length the rest of the string is filled with zero-value padding bytes. No cast must be done over the UID or parts of it. For example, casting four bytes of the UID to a 32-bit

Integer is illegal.

The order of the bytes within the string matches the order of bytes received from the card during the anti-collision process. Consequently, the first byte received will be at

index zero.

The bit order of the string bytes must be such that the LSB (MSB)

matches with the LSB (MSB) of the

card-defined UID.

Refer to ISO14443-A standard.

Historical bytes of the ATS For a MIFARE® or ISO14443-B card

that command is not supported.

SW1-SW2 Command execution status

Command executed successfully 90h 00h

Others Refer to the error codes table below

# Load Keys command

This command is used to load the MIFARE<sup>®</sup> secret keys into the contactless reader/writer. Up to 160 keys can be loaded to support all the keys pairs needed for the Mifare 4K cards (2 keys for each sector):



- 80 keys stored in the reader/writer's EEPROM
- 80 keys stored in the reader/writer's RAM

The command is formatted as follows:

CLA	INS	P1	P2	Lc	Data
FFh	82h	KS	KN	KL	Key
1 byte	6 bytes				

The response is formatted as follows:

SW1	SW2
1 byte	1 byte

Where:

KS Key Structure 00h for key location storage in RAM

20h for key location storage in

**EEPROM** 

KN Key Number 160 keys are available

MIFARE® Key Number 0 to 159 (00h to 9Fh)

The key number which will be used for

the authentication

The key number 0 to 79 (00h to 4Fh) are reserved for the non volatile key

stored in EEPROM

The key number 80 to 159 (50h to 9Fh) are reserved for the volatile key

stored in RAM

KL Key Length KL = 06h means: 6 bytes long

Key MIFARE® Secret Key The MIFARE® key value

The byte order must be the same as the byte order in the card sector trailer (A0h first for the key A0h A1h A2h A3h

A4h A5h)

Gemalto default Key A A0h A1h A2h A3h A4h A5h

Gemalto default Key B B0h B1h B2h B3h B4h B5h

Other values User Key

SW1-SW2 Command execution status

Command executed successfully 90h 00h

Others Refer to the error codes table below



To load the secret key A0h-A1h-A2h-A3h-A4h-A5h into the key number KN using location storage in RAM the following APDU command should be used:

CLA	INS	P1	P2	Lc	Data
FFh	82h	00h	KN	06h	A0h A1h A2h A3h A4h A5h

With KN = 80 to 159 (50 h to 9Fh)

To load the secret key A0h-A1h-A2h-A3h-A4h-A5h into the key number KN using location storage in EEPROM the following APDU command should be used:

CLA	INS	P1	P2	Lc	Data
FFh	82h	20h	KN	06h	A0h A1h A2h A3h A4h A5h

With KN = 0 to 79 (00h to 4Fh)

Note: Loading key number 0 to 79 in RAM is forbidden. Loading key number 80 to 159 in EEPROM is forbidden. The error code SW1-SW2 69h 88h will be returned (Key number not valid)

Note: After delivery the non volatile keys stored in EEPROM (number 0 to 79) are initialized to a default value:

The keys number 00 to 39 are initialized with value A0h A1h A2h A3h A4h A5h

The keys number 40 to 79 are initialized with value B0h B1h B2h B3h B4h B5h

Note: Each time the Prox–DU and the Prox–SU is powered, the volatile keys stored in RAM (number 80 to 159) are initialized to a default value:

The keys number 80 to 119 are initialized with value A0h A1h A2h A3h A4h A5h

The keys number 120 to 159 are initialized with value B0h B1h B2h B3h B4h B5h

# **General Authenticate command**

The General Authenticate command is used to perform an authentication between the contactless reader/writer and a MIFARE® memory block.

For MIFARE® 1K and MIFARE® 4K, it is mandatory to perform the General Authenticate command before each read or write memory block operation. Otherwise, an authentication error will occur.

For MIFARE® Ultralight the General Authenticate operation is not required.

This command is formatted as follows:

CLA	INS	P1	P2	Lc	Data In				
FFh	86h	00h	00h	05h	VER	ABLM	ABLL	KT	KN
1 byte	1 byte	1 byte	1 byte	1byte					

The response is formatted as follows:

SW1	SW2
-----	-----

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1 byte 1 byte

Where:

01h

VER Version VER is used in the future to

differentiate different version of this

command.

ABLM Address Block MSB 00h

ABLL Address Block LSB

MIFARE® 1K 00h – 3Fh

MIFARE® 4K 00h – FFh

KT Key Type

Key A 60h

Key B 61h

KN Key Number

MIFARE® Key Number 0 to 159 (00h to 9Fh)

The key number 0 to 79 are reserved for the non volatile key

stored in EEPROM

The key number 80 to 159 are reserved for the volatile key stored in

RAM

SW1-SW2 Command execution status

Command executed successfully

90h 00h

Others Refer to the error codes table below

The authentication is performed for a memory sector. As each memory sector is composed of four memory blocks, the authentication will be done for all the four memory blocks.

The authentication operation is not required for a MIFARE® Ultralight chip.

If an authentication fails, the smart card is automatically deselected (MIFARE® specification). However the reader/writer automatically recovers the communication with the smart card.

# **Read Binary command**

The Read Binary command is used to read data from a MIFARE® memory block.

Data consist of a memory block (16 bytes).

This command is formatted as follows:

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CLA	INS	P1	P2	Lc
FFh	B0h	ABLM	ABLL	10h
1 byte				

The response is formatted as follows:

Data	SW1	SW2	
16 bytes	1 byte	1 byte	

Where:

ABLM Address Block MSB 00h

ABLL Address Block LSB

MIFARE® 1K 00h – 3Fh

MIFARE® 4K 00h – FFh

MIFARE® Ultralight 00h – 0Fh (\*

Data

16-byte of data. (The first byte of

the block is byte 0)

Present only when there is no error in the status report.

SW1-SW2 Command execution status

Command executed successfully 90 00h

Others Refer to the error codes table below

#### Note:

For MIFARE® 1K and MIFARE® 4K, it is mandatory to perform the General Authenticate command before each read memory block operation. Otherwise, an authentication error will occur

For MIFARE® Ultralight the General Authenticate operation is not required. Refer to the appendix for the MIFARE® Ultralight read operation.

# **Update Binary command**

The Update Binary command is used to write data into a MIFARE® memory block.

Data consist of a memory block (16 bytes).

This command is formatted as follows:

CLA	INS	P1	P2	Lc	DATA
FFh	D6h	ABLM	ABLL	10h	Data

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1 byte 1	byte 1 byt	e 1 byte	1 byte	16 bytes
----------	------------	----------	--------	----------

The response is formatted as follows:

SW1	SW2
1 byte	1 byte

Where:

ABLM Address Block MSB 00h

ABLL Address Block LSB

MIFARE® 1K 00h – 3Fh

MIFARE® 4K 00h – FFh

MIFARE® Ultralight 00h – 0Fh (\*)

Data

16-byte of data (The first byte of

the block is byte 0)

Present only when there is no error in the status report

SW1-SW2 Command execution status

Command executed successfully 90 00h

Others Refer to the error codes table below

#### Note:

For MIFARE® 1K and MIFARE® 4K, it is mandatory to perform the General Authenticate command before each write memory block operation. Otherwise, an authentication error will occur.

For MIFARE® Ultralight the General Authenticate operation is not required. Refer to the appendix for the MIFARE® Ultralight write operation.

# **Error code list summary**

The error codes returned by the commands listed above are defined in the following table:

SW1	SW2	Meaning
Get Dat	ta error c	odes
62h	82h	End of data reach before Le bytes (Le is greater than data length)
67h	00h	Wrong length
6Ah	81h	Function not supported
6Bh	00h	Wrong parameter P1-P2
6Ch	XXh	Wrong length (wrong number Le; XX is the exact number) if Le is less than the available data length
6Dh	00h	Instruction code not supported

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Load Ke	eys error	codes
65h	81h	Memory failure
67h	00h	Wrong length
69h	83h	Reader key not supported
69h	85h	Secure transmission not supported
69h	88h	Key number not valid
69h	89h	Key length is not correct
Genera	I Authent	ticate error codes
67h	00h	Wrong length
69h	82h	Security status not satisfied
69h	83h	Authentication cannot be done
69h	85h	Secure transmission not supported
69h	86h	Key type not known
69h	88h	Key number not valid
6Ah	81h	Function not supported
6Bh	00h	Wrong parameter P1-P2
6Dh	00h	Instruction code not supported
Read B	inary erro	or codes
62h	82h	End of data reach before Le bytes (Le is greater than data length)
67h	00h	Wrong length
68h	00h	Class byte is not correct
69h	82h	Security not satisfied
69h	85h	Address out of range
6Ah	81h	Function not supported
6Ch	XX	Wrong length (wrong number Le; XX is the exact number) if Le is less than the available data length
Update	Binary e	rror codes
67h	00h	Wrong length
69h	82h	Security not satisfied
69h	85h	Address out of range
6Ah	81h	Function not supported

Table 17 – Memory card error codes



# **Interfacing with Contact Cards**

ISO7816 asynchronous smart cards are accessible via standard PC/SC using Microsoft's library "winscard.dll". This type of cards supports at least one of the asynchronous protocols T=0 or T=1. No additional libraries or third-party software components are necessary to integrate ISO7816 smart cards.

As defined in the PC/SC specifications, the Prox–DU and the Prox–SU devices handle all the ISO7816-4 Inter Industry commands to interface ISO7816 asynchronous contact smart cards.

In addition the Prox-DU device will support the following smart card events:

- Insertion
- Removal

As the Prox–SU has no capability to detect a smart card insertion or removal, the SIM/SAM card will always be considered as inserted when the SIM/SAM card is into its connector.

# **Detecting an Insertion**

The contact reader/writer will check if a smart card is inserted into the slot.

When a smart card insertion is detected, its properties are recorded and a CCID insertion notification message will be generated.

# **Detecting a Removal**

A smart card being removed from the slot is detected by the contact reader/writer.

When a smart card removal is detected, a CCID removal notification message will be generated.

# **ATR for Contact Smart Cards**

The Answer To Request (ATR) returned by a contact smart card is compliant with the ISO7816-3 specifications.

The Prox–DU and the Prox–SU will return the smart card ATR after a smart card power up.

The ATR is as follows:

Byte Number	Value	Designation	Description
0	3Bh or 3Fh	TS	Initial header (Mandatory) Direct or inverse convention

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			Format character (Mandatory) Encodes Y1 and K			
1	Y1-K	Т0	Y1 indicator for the presence of the interface characters TA1-TB1-TC1-TD1			
			K=number of historical bytes			
2	Fi-Di	TA1	Interface characters (Optional) Global, encodes Fi and Di			
3	-	TB1	Interface characters (Optional) Global, deprecated			
4	N	TC1	Interface characters (Optional) Global, encodes N			
5	Y2-T	TD1	Interface characters (Optional) Strutctural, encodes Y2 and T			
6	i00j-T	TA2	Interface characters (Optional) Global, specific mode byte			
7	-	TB2	Interface characters (Optional) Global, deprecated			
8	N	TC2	Interface characters (Optional) Specific to T=0			
9	Y3-T	TD2	Interface characters (Optional) Structural, encodes Y3 and T			
	4.0	0,0	For i > 2			
	(0)	TDi-1	Interface characters (Optional) Structural, encodes Yi and T			
	i00j-T	TAi	Interface characters (Optional)			
A 40 4			( -   <del>  </del>			

	(8)	TDi-1	Interface characters (Optional) Structural, encodes Yi and T
	i00j-T	TAi	Interface characters (Optional)
II-PI2		TBi	Specific to T after T from 0 to 14 in TDi–1
	N	TCi	Global after T=15 in TDi–1
	Y3-T	TDi	Interface characters (Optional) Interface characters (Optional) Structural, encodes Yi+1 and T

XX	T1	Historical characters (Optional): max 15 bytes

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	XX	•••	
	XX		
		Tk	
N	υU	TCK	Check character (Conditional): Exclusive-OR of bytes T0 to Tk

Table 18 - ATR for contact smart cards

#### Structures and content

A reset operation results in the answer from the smart card consisting of the initial character TS followed by at most 32 characters in the following order:

T0 Format character (Mandatory)
 TAi, TBi, TCi, TDi Interface characters (Optional)
 T1, T2, ..., TK Historical characters (Optional)
 TCK Check character (Conditional)

The interface characters specify physical parameters of the integrated circuit in the smart card and logical characteristics of the subsequent exchange protocol.

The historical characters designate general information, for example, the smart card manufacturer, the chip inserted in the smart card, the masked ROM in the chip, the state of the life of the smart card. The specification of the historical characters falls outside the scope of this part of ISO7816.

For simplicity, T0, TAi, ... ,TCK will designate the bytes as well as the characters in which they are contained.

# Structure of the subsequent characters in the ATR

The initial character TS is followed by a variable number of subsequent characters in the following order: The format character T0 and, optionally the interface characters TAi, TBi, TCi, TDi and the historical characters T1, T2, ..., TK and conditionally, the check character TCK.

The presence of the interface characters is indicated by a bit map technique explained below.

The presence of the historical characters is indicated by the number of bytes as specified in the format character defined below.

The presence of the check character TCK depends on the protocol type(s) as defined as below.

#### Format character T0

The T0 character contains two parts:

- The most significant half byte (b4, b5, b5, b7) is named Y1 and indicates with a logic level ONE the presence of subsequent characters TA1, TB1, TC1, TD1 respectively.
- The least significant half byte (b3 to b0) is named K and indicates the number (0 to 15) of historical characters.

b7	b6	b5	b4	b3	b2	b2	b0
----	----	----	----	----	----	----	----

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Figure 29 - Information provided by T0

Y1: indicator for the presence of the interface characters

- TA1 is transmitted when b4=1
- TB1 is transmitted when b5=1
- TC1 is transmitted when b6=1
- TD1 is transmitted when b7=1
- K: number of historical characters

### Interface characters TAi, TBi, TCi, TDi

TAi, TBi, TCi (i=1, 2, 3, ... ) indicate the protocol parameters.

Each interface byte TA, TB or TC is either global or specific:

- Global interface bytes refer to parameters of the integrated circuit within the smart card.
- Specific interface bytes refer to parameters of a transmission protocol offered by the smart card.

TDi indicates the protocol type T and the presence of subsequent characters.

Bits b4, b5, b6, b7 of the byte containing Yi (T0 contains Y1; TDi contains Yi+1) state whether character TAi for b4, character TBi for b5, character TCi for b6, character TDi for b7 are or are not (depending on whether the relevant bit is 1 or 0) transmitted subsequently in this order after the character containing Yi.

When needed, the interface device shall attribute a default value to information corresponding to a non transmitted interface character.

When TDi is not transmitted, the default value of Yi+1 is null, indicating that no further interface characters TAi+j, TBi+j, TCi+j, TDi+j will be transmitted.

b7	b6 b5	b4	b3	b2	b2	b0
	Yi+1				Г	

Figure 30 - Information provided by TDi

Yi+1: indicator for the presence of the interface characters

- TAi+1 is transmitted when b4=1
- TBi+1 is transmitted when b5=1
- TCi+1 is transmitted when b6=1
- TDi+1 is transmitted when b7=1

T: Protocol type for subsequent transmission.

If TD1, TD2 and so on are present, the encoded types T shall be in ascending numerical order. If present, T=0 shall be first, T=15 shall be last. T=15 is invalid in TD1.

#### Historical characters T1, T2, ...,TK

When K is not null, the answer to reset is continued by transmitting K historical characters T1, T2, ..., TK.

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#### **Check character TCK**

The value of TCK shall be such that the exclusive-oring of all bytes from T0 to TCK included is null.

### Protocol type T

The four least significant bits of any interface character TDi indicate a protocol type T, specifying rules to be used to process transmission protocols. When TDi is not transmitted, T=0 is used.

- T=0 is the asynchronous half duplex character transmission protocol.
- T=1 is the asynchronous half duplex block transmission protocol.
- T=2 and T=3 are reserved for future full duplex operations.
- T=4 is reserved for an enhanced asynchronous half duplex character transmission protocol.
- T=5 to T=13 are reserved for future use.
- T=14 is reserved for protocols not standardized by ISO.
- T=15 does not refer to a transmission protocol, but only qualifies global interface bytes.

Note: If only T=0 is indicated, TCK shall not be sent. In all other cases TCK shall be sent.

### Specifications of the global interface bytes

Among the interface bytes possibly transmitted by the smart card in answering to reset, this subclaus defines only the global interface bytes TA1, TB1, TC1, TA2, TB2, the first TA for T=15 and the first TB for T=15.

These global interface bytes convey information to determine parameters which the interface device shall take into account.

#### TA<sub>1</sub>

TA1 encodes the indicated value of the clock rate conversion integer (Fi), the indicated value of the baud rate adjustment integer (Di) and the maximum value of the frequency supported by the smart card (f (max.)). The default values are Fi = 372, Di = 1 and f (max.) = 5 MHz.

Fi	0000	0001	0010	0011	0100	0101	0110	0111
F	372	372	558	744	1116	1488	1860	RFU
Fs (max) MHz	4	5	6	8	12	16	20	-

Fi	1000	1001	1010	1011	1100	1101	1110	1111
F	RFU	512	768	1024	1536	2048	RFU	RFU
Fs (max) MHz	-	5	7.5	10	15	20	-	-

Table 19 - Clock rate conversion factor F



Di	0000	0001	0010	0011	0100	0101	0110	0111
D	RFU	1	2	4	8	16	32	64

Di	1000	1001	1010	1011	1100	1101	1110	1111
D	12	20	RFU	RFU	RFU	RFU	RFU	RFU

Table 20 -Bit rate adjustment factor D

#### TB1 and TB2

TB1 and TB2 are deprecated. The smart card should not transmit them. The interface device shall ignore them.

Note: The first two editions of ISO 7816-3 specified TB1 and TB2 to fix electrical parameters of the integrated circuit for the deprecated use of contact C6.

#### TC1

TC1 encodes the extra guard time integer (N) from 0 to 255 over the eight bits. The default value is N = 0.

If N = 0 to 254, then before being ready to receive the next character, the smart card requires the following delay from the leading edge of the previous character (transmitted by the smart card or the interface device):

$$GT = 12 etu + R \times N/f$$

- If T=15 is absent in the Answer-to-Reset, then R = F / D, i.e., the integers used for computing the etu.
- If T=15 is present in the Answer-to-Reset, then R = Fi / Di, i.e., the integers defined above by TA1.

No extra guard time is used to transmit characters from the card: GT = 12 etu.

The use of N = 255 is protocol dependent: GT = 12 etu in PPS and in T=0. For the use of N = 255 in T=1, refer to the ISO7816-3 standard.

#### TA2

TA2 is the specific mode byte. For the use of TA2 refer to the ISO7816-3 standard.

Bit 7 indicates the ability for changing the negotiable/specific mode:

- capable to change if bit 7 is set to 0,
- unable to change if bit 7 is set to 1.

Bits 6 and 5 are reserved for future use (set to 0 when not used).

Bit 4 indicates the definition of the parameters F and D.

- If bit 4 is set to 0, then the integers Fi and Di defined above by TA1 shall apply.
- If bit 4 is set to 1, then implicit values (not defined by the interface bytes) shall apply.

Bits 3 to 0 encode a type T.

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#### The first TA1 for T=15

The first TA for T=15 encodes the clock stop indicator (X) and the class indicator (Y). The default values are X = "clock stop not supported" and Y = "only class A supported". For the use of clock stop and for the use of the classes of operating conditions refer to the ISO7816-3 standard.

According to the next table, bits 7 and 6 indicate whether the smart card supports
clock stop (≠ 00) or not (= 00) and, when supported, which state is preferred on the
electrical circuit CLK when the clock is stopped.

Bits 7 and 6	00	01	10	11
X	Clock stop not supported	State L	State H	No preference

Table 21 - clock stop indicator X

 According to the next table 10, bits 5 to 1 indicate the classes of operating conditions accepted by the smart card. Each bit represents a class: bit 1 for class A, bit 2 for class B and bit 3 for class C.

Bits 5 to 0	00 0001	00 0010	00 0100	00 0011
Y	A only (5V)	B only (3V)	C only (1.8V)	A and B
Bits 5 to 0	00 0110	00 0111	Any other value	
Y	B and C	A, B and C	RFU	

Table 22 - class indicator Y

#### The first TB for T=15

The first TB for T=15 indicates the use of standard or proprietary use contact (SPU) by the smart card. The default value is "SPU not used".

Coded over bits 6 to 0, the use is either standard (bit 7 set to 0), or proprietary (bit 7 set to 1). The value '00' indicates that the smart card does not use SPU. Any other value where bit 7 is set to 0 are reserved for future use.

For additional information about the ATR contents please refer to the ISO7816-3 standard.

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# **CCID Devices**

The CCID device is a standardized USB smart card reader. The Prox–DU and the Prox–SU reader/writers include two CCID devices:

- One CCID device for the contactless interface
- One CCID device for the contact interface

Each CCID device complies with the standard CCID specification Revision 1.1.

## **CCID Overview**

When a CCID device is connected to a USB host, it may or may not have a smart card inserted. The CCID device identifies to the host its capabilities and requirements, and the host prepares to communicate with it. The CCID device may then, at any time, detect the presence of a smart card, at which time it communicates that information to the host. As soon as the host receives information about the attached smart card, further communications may then take place between the host and the smart card through the CCID device.

The CCID model assumes that a smart card is or can be inserted into the device. This is the purpose for the "slot change" interrupt message.

Also this model applies to devices that integrate CCID and smart card functionalities and may be viewed as containing a permanently inserted smart card.

# **CCID** communication pipes

The CCID device uses the following USB communication pipes:

- A control pipe
  - To monitor the USB device as described in the USB specification
  - To transport 3 class-specific requests
    - ABORT to stop running CCID commands
    - GET\_CLOCK\_FREQUENCIES to retrieve the list of frequencies for the card clock provided by the reader
    - GET\_DATA\_RATES to get the baud rates available on the reader
- An interrupt pipe to notify asynchronous events
  - To notify card movements
  - To report hardware problems

This interrupt pipe is mandatory for a CCID that supports card insertion/removal as the Prox–DU, and optional for a CCID with cards that are always inserted and not removable as the Prox–SU.

- A bulk-in and bulk-out pipe
  - o The host command is sent on the bulk-out endpoint
  - The device sends the answer on the bulk-in endpoint



The features of the bulk-in and bulk-out pipes implemented into the Prox–DU and the Prox–SU reader/writers are the following:

- The CCID can address one card. Each card is called a 'slot'
- The host can send only one command at a time to a slot
- The host can not send a new command to a slot until the ending response to the last command to that slot is received
- At each bulk out command sent by the host, there is only bulk-in answer sent by the reader and possibly time extension requested by the card

## **CCID** protocol and parameters selection

A CCID device announces its level of exchanges with the host, TPDU, APDU (Short and Extended), or Character.

The Prox–DU and the Prox–SU reader/writers announce the following level of exchanges:

- Short APDU, T=1 for the contactless interface
- TPDU, T=0 & T=1 for the contact interface

Note: the character level of exchanges is not supported by both interfaces.

### **TPDU level of exchange**

For TPDU level exchanges, the CCID provides the transportation of host's TPDU to the smart card's TPDU. The TPDU format changes according to the protocol or for PPS exchange.

TPDU for PPS exchange has the following format:

Command TPDU:

FF PPS0 PPS1 PPS2 PPS3 PCK, with PPS1, PPS2, PPS3 optional.

Response TPDU:

FF PPS0\_R PPS1\_R PPS2\_R PPS3\_R PCK\_R, with PPS1\_R, PPS2\_R, PPS3\_R optional.

The CCID implements and verifies timings and protocol according to its parameters settings to assume ISO7816-3. No check on frame format is mandatory on request, and on response the only recommended analysis is the most significant nibble of PPS0\_R to compute the number of bytes left to receive.

A CCID that implements automatic PPS should not accept TPDU for PPS exchange and must check for PPS response validity.

T = 0 TPDU can have three formats:

- Form 1, no data to exchange with the smart card, only header:

Command TPDU = CLA INS P1 P2, the CCID is responsible to add P3=00h.

Response TPDU = SW1 SW2

- Form 2, data expected from the smart card:

Command TPDU = CLA INS P1 P2 Le, Le=P3 from 00h to FFh (00h means 100h)

Response TPDU = Data(Le) SW1 SW2, Data(Le) is for the Le data



received from the smart card or empty if the smart card rejects the command.

- Form 3, data are to be sent to the smart card:

Command TPDU = CLA INS P1 P2 Lc Data(Lc), Lc=P3 from 01h to FFh and Data(Lc) for the Lc data to send to the smart card.

Response TPDU = SW1 SW2

The CCID device, for T=0 TPDU, is in charge of managing procedure bytes and character level.

The procedure bytes are not mapped into the response TPDU except for the SW1 SW2 bytes. The CCID implements and verifies timings according to its parameters settings to assume ISO7816-3 (work waiting time, extra guard time ...). If the smart card uses NULL procedure byte (60h) the CCID informs the host of this request for time extension.

T = 1 TPDU command and response use the frame format. The CCID expects the respect of the character frame. But no check on frame format is mandatory on sending, and on receiving. The only recommended checks are:

- Expecting LEN byte as third byte
- Wait for LEN bytes as INF field
- Wait for an EDC field which length complies with parameter bmTCCKST1

The CCID implements and verifies timing according to its parameters settings to assume ISO7816-3 (CWT, BWT, BGT ...).

The detection of parity error on character received is optional. The interpretation of first bytes received as NAD and PCB to manage VPP is optional and depends on CCID capabilities.

#### **APDU** level of exchange

For APDU level exchanges, the CCID provides the transportation of host's APDU to the smart card's TPDU.

APDU commands and responses are defined in ISO7816-4.

Two APDU levels are defined, short APDU and extended APDU. Short APDU and extended APDU are defined in ISO/IEC 7816-4

A CCID that indicates a short APDU exchange only accepts short APDU. A CCID that indicates an extended APDU exchange accepts both short APDU and extended APDU.

If the smart card requests time extension, by using a NULL procedure byte (60h) in T=0 protocol or S(WTX) in T=1 protocol, the CCID informs the host of this request.

A CCID supporting APDU level of exchanges implements a high level of automatism in smart card communications. It shall also provide a high level of automatism in ATR treatment and implement one of the following automatisms: automatic parameters negotiation (proprietary algorithm), or automatic PPS according to the current parameters. At least two standards of transportation for APDU are defined, ISO 7816-4 and EMV, which standard to implement is out of the scope of this specification.

#### Character level of exchange

Character level of exchanges is selected when none of the TPDU, Short APDU or Short and extended APDU is selected.

The CCID sends the characters in the command (maybe none) then waits for the number of



characters (if not null) indicated in the command.

For character level exchange between the host and the CCID, the CCID supports asynchronous characters communication with the smart card as per ISO7816-3 including timings defined in ISO7816-3 for T = 0 and for T = 1. To respect timing the CCID shall use the defined parameters.

The CCID implements the character frame and character repetition procedure when T = 0 is selected.

## Suspend behavior

When resuming from a USB suspend, the host/driver will assume that all the smart cards have been deactivated (powered down).

When the USB bus suspends, CCIDs are not required to deactivate inserted smart cards, but may do so; however, after the USB bus resumes, CCIDs must respond to the host as if all of the inserted smart cards had been deactivated and newly inserted.

After resuming, the CCID will do two things in no particular order.

- 1. Send the RDR to PC NotifySlotChange message to inform the driver which slots have "newly inserted" cards.
- 2. The CCID will reactivate the smart cards only from a PC to RDR IccPowerOn message from the driver or automatically if the CCID has the "automatic activation on insertion" feature. Note: When reactivating, all slot parameters initially revert back to the defaults.

# CCID device for the contact interface

## Command pipe bulk-out message for the contact card interface

The following CCID commands are implemented for the contact interface:

- PC to RDR IccPowerOn
- PC\_to\_RDR\_lccPowerOff
- PC to RDR GetSlotStatus
- PC to RDR XfrBlock
- PC\_to\_RDR\_SetParameters
- PC\_to\_RDR\_GetParameters
- PC to RDR ResetParameters
- PC\_to\_RDR\_Escape
- PC\_to\_RDR\_Abort

The following CCID commands are not implemented:

- PC\_to\_RDR\_lccClock
- PC to RDR T0APDU
- PC to RDR Secure

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- PC\_to\_RDR\_Mechanical
- PC\_to\_RDR\_SetDataRateAndClockFrequency

In the following paragraphs for all the command messages:

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The field bSLot must be set to 00h.

The field bSeq is not checked.

#### PC\_to\_RDR\_lccPowerOn command

This command powers on the smart card. A cold and a warm resets are allowed.

This command is rejected if no contact smart card is currently declared inserted.

The command processing depends on the slot mode (APDU/EMV mode or TPDU mode).

#### Slot in APDU/EMV Mode

In EMV mode, the reader powers on the card; it also checks that the ATR is compliant with the EMV standard and sets the card interface transmission parameters according to the response from the card.

Because it is required by the EMV specifications, if the reader succeeds in retrieving the response from the card (no time-out error, parity error or TCK error), but the ATR does not meet EMV specifications, the reader tries a warm reset.

If the ATR does not comply with EMV requirements, the reader deactivates the card.

If the reader encounters a transmission error, it deactivates the card and makes no further attempts to obtain a response from the card.

#### Slot in TPDU Mode

The command is compliant with the ISO7816-3 standard. If the command fails, the card is powered off.

Because it does not parse the ATR, the reader does not store parameters.

To meet card requirements, the host must send a PC\_to\_RDR\_SetParameters command to set the baud rate, the protocol, etc.

Refer to the PC\_to\_RDR\_SetParameters command for a complete overview.

Offset	Field	Size	Value	Description
0	bMessageType	1	62h	PC_to_RDR_lccPowerOn
1	bwLength	4	00000000h	
5	bSlot	1	00h	Slot 0
6	bSeq	1	00-FFh	Sequence number for the command
7	bPowerSelect	1	01h	Voltage that is applied to the ICC
				TPDU mode and APDU mode
A 4				01h – 5.0V
8	abRFU	2	0000h	Reserved for future used

The contact smart card interface does not support automatic voltage selection.

The data returned is the card ATR.

The response to this command message is RDR\_to\_PC\_DataBlock response message.

#### PC to RDR IccPowerOff command

This command powers off the smart card.

Offset	Field	Size	Value	Description
0	bMessageType	1	63h	PC_to_RDR_lccPowerOff
1	bwLength	4	00000000h	
5	bSlot	1	00h	Slot 0

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6	bSeq	1	00-FFh	Sequence number for the command
7	abRFU	3	000000h	Reserved for future used

The response to this command message is the RDR to PC SlotStatus response message.

#### PC\_to\_RDR\_GetSlotStatus command

This command is used to retrieve the current slot status:

- Card not present
  - o no card is inserted
- Card present and not active
  - a card is inserted but is not powered (the PC to RDR PowerOn command was not executed).
- Card present and active
  - a card is detected and powered. (the PC\_to\_RDR\_PowerOn command was successfully executed).

Offset	Field	Size	Value	Description
0	bMessageType	1	65h	PC_to_RDR_GetSlotStatus
1	bwLength	4	00000000h	
5	bSlot	1	00h	Slot 0
6	bSeq	1	00-FFh	Sequence number for the
	-			command
7	abRFU	3	000000h	Reserved for future used

The response to this command message is RDR to PC SlotStatus response message.

### PC to RDR XfrBlock command

This command will be rejected if no contact smart card is declared present and active.

This command is handled differently depending on what mode the slot is in:

Slot in APDU/EMV Mode

The command is exchanged between the reader and the host, using APDU commands. As the reader exchanges TPDU commands with the card, it formats the command using the T=0 or T=1 protocol, depending on the fields of the ATR. If necessary, the reader chains the data in T=1; it attempts recovery in the event of a problem, etc. The host receives the result of the command in the APDU format.

Slot in TPDU mode

The command is sent in TPDU mode. The data is sent to the card as it was received by the reader. The reader returns the card response to the Host in TPDU format.

When the command follows an ATR and its format is a PPS exchange, the reader starts a sequence of PPS exchanges.

The Data format for a PPS exchange is:

PPSS PPS0 [PPS1] [PPS2] [PPS3] PCK

With PPSS = FFh

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Refer to ISO 7816-3 for more information.

Offset	Field	Size	Value	Description
0	bMessageType	1	6Fh	PC_to_RDR_XfrBlock

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1	bwLength	4		Size of the abData field
5	bSlot	1	00h	Slot 0
6	bSeq	1	00-FFh	Sequence number for the command
7	bBWI	1	00-FFh	Used to extend the CCIDs Block Waiting Timeout for this current transfer. The CCID will timeout the block after "this number multiplied by the block Waiting Time" has expired.
8	wLevelParameter	2	0000h	Use changes depending of the exchange level reported by the class descriptor in dwFeature field Short APDU level, RFU = 0000h
10	abData	Byte Array		Short APDU command

The parameter bBWI is only use in TPDU mode and only for T=1 transfers.

The command message length = 10 + Card command length.

The maximum length in case of Short ADPU = 10 + 261 = 271 bytes.

The response to this command message is RDR\_to\_PC\_DataBlock response message.

### PC\_to\_RDR\_GetParameters command

This command is used to retrieve the current slot parameters. It is always accepted.

Offset	Field	Size	Value	Description
0	bMessageType	1	6Ch	PC_to_RDR_GetParameters
1	bwLength	4	00000000h	
5	bSlot	1	<b>00</b> h	Slot 0
6	bSeq	1	00-FFh	Sequence number for the
		7		command
7	abRFU	3	000000h	Reserved for future used

The response to this command message is the RDR\_to\_PC\_Parameters response message.

### PC\_to\_RDR\_ResetParameters command

This command is used to reset the current slot parameters.

The command is allowed in TPDU mode. In APDU mode, the command is rejected.

The slot resets the T=0 and T=1 parameters, but the slot is set to T=0 protocol.

Offset	Field	Size	Value	Description
0	bMessageType	1	6Dh	PC_to_RDR_ResetParameters
1	bwLength	4	00000000h	
5	bSlot	1	00h	Slot 0
6	bSeq	1	00-FFh	Sequence number for the
				command
7	abRFU	3	000000h	Reserved for future used

The response to this command message is the RDR\_to\_PC\_Parameters response message.

### PC\_to\_RDR\_SetParameters command

This command is used to change the slot parameters such as the baud rate, the protocol,



etc.

The command is allowed with the in TPDU mode. In APDU mode, the command is rejected.

Offset	Field	Size	Value	Description
0	bMessageType	1	61h	PC_to_RDR_SetParameters
1	bwLength	4		Size of the
				abProtocolDataStructure field
5	bSlot	1	00h	Slot 0
6	bSeq	1	00-FFh	Sequence number for the
				command
7	bProtocolNum	1	01h	Specifies what protocol data
				structure follows.
				00h = structure for protocol T=0
				01h structure for protocol T=1
8	abRFU	2		Reserved for future use
10	abProtocolDataStructure	Byte		Protocol Data Structure
		array		

Protocol data structure for protocol T=0 (bProtocolNum = 0) (dwLength = 00000005h):

Offset	Field	Size	Value	Description
10	bmFindexDindex	1		b7-4 – FI selecting a clock rate
				conversion factor
				b3-0 – DI selecting a baud rate
				conversion factor
				see table Fi/Di of ISO 7816-3
11	bmTCCKST0	1	00h, 02h	For T=0,
			<b>(</b> ) •	b0 – 0b
			1	b7-2 – 000000b
		. 4		b1 = 0 direct convention
		66	) '	b1 = 1 inverse convention
				CCID ignores bit b1
12	bGuardTimeT0	<b>7.</b> 1	00h-FFh	Extra Guard Time between two
				characters. Add 0 to 254 etu to the
	49			normal guard time 12 etu. FFh is
				the same as 00h
13	bWaitingIntegerT0	1	00h-FFh	WI for T=0 used to define WWT
14	bCLockStop	1	00h	ICC clock stop support
				00h = Stopping the clock is not
				allowed

bCLockStop: Stopping the clock is not allowed for this parameter.

Protocol data structure for protocol T=1 (bProtocolNum = 1) (dwLength = 00000007h):

Offset	Field	Size	Value	Description
10	bmFindexDindex	1		b7-4 – FI selecting a clock rate conversion factor b3-0 – DI selecting a baud rate conversion factor see table Fi/Di of ISO 7816-3
11	bmTCCKST1	1	10h, 11h, 12h, 13h	For T=1, b7-2 = 000100b b0 = 0b - Checksum LRC b0 = 1b - Checksum CRC b1 = 0 - direct convention b1 = 1 - inverse convention CCID ignores bit b1
12	bGuardTimeT1	1	00-FFh	Extra Guard Time between two

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				characters. If value FFh, then guard time is reduced by 1 etu.
13	bWaitingIntegersT1	1	00-9Fh	b7-b4 = BWI value 0-9 valid b3-b0 = CWI value 0-F valid
14	bCLockStop	1	00h	ICC clock stop support 00h = Stopping the clock is not allowed
15	blfsc	1	00-FEh	Size of negotiated IFSC
16	bNadValue	1		Value = 00h if CCID doesn't support a value other than the default value. Else value respects ISO/IEC 7816-3 9.4.2.1

bCLockStop: Use only stopping the clock is not allowed for this parameter.

bNadValue: The value is ignored.

The response to this message is the RDR\_to\_PC\_Parameters response message.

### PC\_to\_RDR\_Escape command

This command allows the CCID manufacturer to define and access extended features.

The information sent via this command is processed by the CCID control logic.

Offset	Field	Size	Value	Description
0	bMessageType	1	6Bh	PC_to_RDR_Escape
1	bwLength	4		Size of the abData field
5	bSlot	1	00h	Slot 0
6	bSeq	1	00-FFh	Sequence number for the
	-			command
7	abRFU	3	000000h	Reserved for Future Used
10	abData	Byte		Data block sent to the CCID
		array		

The response to this message is the RDR\_to\_PC\_Escape response message.

#### **Switch interface**

This command is use to switch to the contactless interface after a contact card is inserted and to switch back to the contact card interface.

The command format is the following:

Offset	Field	Size	Value	Description
0	bCommandFamily	1	52h	Reader command
1	bCommandType	1	F8h	Management
2	bCommand	1	04h	04h = Switch interface
3, 4	wLength	2	0001h	Size of the Data field
5	bInferface	1	01h,02h	01h = Switch to contactless interface
				02h = Switch to contact interface

The response format is the following:

Offset	Field	Size	Value	Description
0,1	abStatus	2	XX XX	Command Status execution
2, 3	wLength	2	0000h	Size of the Data field

The abStatus field can report a possible execution error.



#### Card movement notification enable

This command is use to disable or enable the smart card movement notification to the host on the Interrupt Endpoint of the CCID interface.

At startup the card movement notification is enabled.

Note: This command is useful only with LINUX operating system.

Do not use this command with Microsoft operating system.

The command format is the following:

Offset	Field	Size	Value	Description
0	bCommandFamily	1	52h	Reader command
1	bCommandType	1	F8h	Management
2	bCommand	1	06h	06h
3, 4	wLength	2	0001h	Size of the Data field
5	bNotification	1	0x00	0x00 = notification disabled
			0x01	0x01 = notification enabled

The response format is the following:

Offset	Field	Size	Value	Description
0,1	abStatus	2	XX XX	Command Status execution
2, 3	wLength	2	0000h	Size of the Data field

#### GemCore POS Pro chip escape command

The escape commands available for the GemCore POS Pro chip controlling the contact interface are the following:

- Firmware version request
- Slot level management (TPDU or ATPDU mode)

Refer to the documentation "GemCore POS Pro Reference manual" DOC113572 A for more information.

### PC\_to\_RDR\_Abort command

This command is used with the control pipe Abort request to tell the CCID to stop any current transfer at the specified slot and return to a state where the slot is ready to accept a new command pipe Bulk-Out message.

Offset	Field	Size	Value	Description
0	bMessageType	1	72h	PC_to_RDR_Escape
1	bwLength	4	00000000h	
5	bSlot	1	00h	Slot 0
6	bSeq	1	00-FFh	Sequence number for the command
7	abRFU	3	000000h	Reserved for Future Used

The response to this message is the RDR\_to\_PC\_SlotStatus response message.

# Response pipe bulk-in for the contact card interface

The following CCID messages are implemented for the contact interface:

- RDR\_to\_PC\_DataBlock
- RDR\_to\_PC\_SlotStatus

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- RDR\_to\_PC\_Parameters
- RDR\_to\_PC\_Escape

The following CCID message is not implemented:

RDR\_to\_PC\_DataRateAndClockFrequency

### RDR to PC DataBlock

This message is the response to the PC\_to\_RDR\_IccPowerOn and PC\_to\_RDR\_XfrBlock – commands.

For the PC\_to\_RDR\_PowerOn command this response message contains the ATR of the card.

For the PC\_to\_RDR\_XfrBlock command this response message contains the card response.

Offset	Field	Size	Value	Description
0	bMessageType	1	80h	RDR_to_PC_DataBlock
1	bwLength	4		Size of the abData field
5	bSlot	1	00h	Slot number : Same as Bulk-
				OUT message
6	bSeq	1	00-FFh	Sequence number : Same as
				Bulk-OUT message
7	bStatus	1	00h, 01h,	Slot status register:
			02h, 40h,	0Xh = no error
			41h, 42h, 80h	4Xh = command failed
				80h Time extension request
				X = 0 card present and active
			4	X = 1 card present and inactive
		4.0		X = 2 card not present
8	bError	17	00h-FFh	Slot error register:
		<i>*</i>		Error when bStatus = 4Xh
		W)		Time multiplier when bStatus =
	4			0x80h
9	bChainParameter	1	00h	Depends on the exchange level
	. 0.9			reported by the class descriptor
				in dwFeature field.
				TPDU level, Short APDU level,
40		D (		this field is RFU =00h.
10	abData	Byte		This field contains the data
		array		returned by the CCID

The response message length = 10 + Card card response length (or ATR length).

The maximum length in case of Short ADPU = 10 + 258 = 268 bytes.

## RDR\_to\_PC\_SlotStatus

This message is the response to the PC\_to\_RDR\_IccPowerOff, PC\_to\_RDR\_GetSlotStatus and PC\_to\_RDR\_Abort commands.

Offset	Field	Size	Value	Description
0	bMessageType	1 81h		RDR_to_PC_SlotStatus
1	bwLength	4	00000000h	
5	bSlot	1	00h	Slot number : Same as Bulk-OUT
				message
6	bSeq	1	00-FFh	Sequence number : Same as Bulk-
				OUT message

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7	bStatus	1	00h, 01h, 02h, 40h, 41h, 42h	Slot status register:  0Xh = no error  4Xh = command failed  X = 0 card present and active  X = 1 card present and inactive  X = 2 card not present
8	bError	1	00h-FFh	Slot error register: Error when bStatus = 4Xh
9	bClockStatus	1	00h	Value = 00h – Clock running

## RDR\_to\_PC\_Parameters

This message is the response to the PC\_to\_RDR\_GetParameters, PC\_to\_RDR\_ResetParameters and PC\_to\_RDR\_SetParameters commands.

Offset	Field	Size	Value	Description
0	bMessageType	1	82h	RDR_to_PC_Parameters
1	bwLength	4		Size of the
	_			abProtocolDataStructure field
5	bSlot	1	00h	Slot number : Same as Bulk-OUT
				message
6	bSeq	1	00-FFh	Sequence number : Same as Bulk-
	•			OUT message
7	bStatus	1	00h, 01h,	Slot status register:
			02h, 40h,	0Xh = no error
			41h, 42h	4Xh = command failed
				X = 0 card present and active
			1	X = 1 card present and inactive
		4 0	A 100	X = 2 card not present
8	bError	1	J 00h-FFh	Slot error register:
		-		Error when bStatus = 4Xh
9	bProtocolNum	<b>7</b> 1	00h, 01h	Specifies what protocol data
				structure follows.
				00h = structure for protocol T=0
				01h = structure for protocol T=1
10	abProtocolDataStructure	Byte	00h, 01h	Protocol data structure
		array		

Protocol data structure for protocol T=0 (bProtocolNum = 0) (dwLength = 00000005h):

Offset	Field	Size	Value	Description
10	bmFindexDindex	1		b7-4 – FI selecting a clock rate
				conversion factor
A STATE OF THE STA				b3-0 – DI selecting a baud rate
				conversion factor
11	bmTCCKST0	1	00h, 02h	For T=0,
				b0 – 0b
				b7-2 – 000000b
				b1 = 0 direct convention used
				b1 = 1 inverse convention
				used
12	bGuardTimeT0	1	00h-FFh	Extra Guard Time between two
				characters. Add 0 to 254 etu to the
				normal guard time 12 etu. FFh is
				the same as 00h
13	bWaitingIntegerT0	1	00h-FFh	WI for T=0 used to define WWT
14	bCLockStop	1	00h	ICC clock stop support

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		00h = Stopping the clock is not
		allowed

Protocol data structure for protocol T=1 (bProtocolNum = 1) (dwLength = 00000007h):

Offset	Field	Size	Value	Description
10	bmFindexDindex	1		b7-4 – FI selecting a clock rate
				conversion factor
				b3-0 – DI selecting a baud rate
				conversion factor
11	bmTCCKST1	1	10h, 11h,	For T=1,
			12h, 13h	b7-2 = 000100b
				b0 = 0b – Checksum type
				LRC
				b0 = 1b – Checksum type
				CRC
				b1 = 0 - direct convention
				used
				b1 = 1 - inverse convention
				used
12	bGuardTimeT1	1	00-FFh	Extra Guard Time between two
				characters. If value FFh, then
				guard time is reduced by 1 etu.
13	bWaitingIntegersT1	1	00-9Fh	b7-b4 = BWI value 0-9 valid
				b3-b0 = CWI value 0-F valid
14	bCLockStop	1	00h	ICC clock stop support
				00h = Stopping the clock is not
				allowed
15	blfsc	1	00-FEh	Size of negotiated IFSC
16	bNadValue	1		NAD value used by CCID

## RDR\_to\_PC\_Escape

This message is the response to the PC\_to\_RDR\_Escape command.

		AND THE PERSON NAMED IN		
Offset	Field	Size	Value	Description
0	bMessageType		83h	RDR_to_PC_Escape
1	bwLength	4		Size of the abData field
5	bSlot	1	00h	Slot number : Same as Bulk-OUT
				message
6	bSeq	1	00-FFh	Sequence number : Same as Bulk-
				OUT message
7	bStatus	1	00h, 01h,	Slot status register:
			02h, 40h,	0Xh = no error
			41h, 42h	4Xh = command failed
				X = 0 card present and active
				X = 1 card present and inactive
				X = 2 card not present
8	bError	1	00h-FFh	Slot error register:
				Error when bStatus = 4Xh
9	bRFU	1	00h	Reserved for Future Used
10	abData	Byte		Data sent from CCID
		array		

# Reporting slot error and slot status registers in bulk-in messages for the contact interface

Each bulk-in message contains the values of the Slot Error register (bError) and the Slot



Status register (bStatus).

Slot error register: when bmCommandStatus = 1

Error Code	Error Name	Possible cases
FFh	CMD_ABORTED	Host aborted the current activity
FEh	ICC_MUTE	CCID time out while talking to the ICC
FDh	XFR_PARITY_ERROR	Parity error while talking to the ICC
FCh	XFR_OVERRUN	Overrun error while talking to the ICC
FBh	HW_ERROR	An all inclusive hardware error occurred
F8h	BAD_ATR_TS	
F7h	BAD_ATR_TCK	
F6h	ICC_PROTOCOL_NOT_SUPPORTED	40
F5h	ICC_CLASS_NOT_SUPPORTED	.0
F4h	PROCEDURE_BYTE_CONFLICT	3
F3h	DEACTIVATED_PROTOCOL	
F2h	BUSY_WITH_AUTO_SEQUENCE	Automatic Sequence Ongoing
F0h	PIN_TIMEOUT	
EFh	PIN_CANCELED	
E0h	CMD_SLOT_BUSY	A second command was sent to a slot which was already processing a command
C0h to 81h	User Defined	Low byte of error code listed in Annex
80h and those filling the gaps	Reserved for future used	
7Fh to 01h	Index of not supported / incorrect message parameter	
00h	Command not supported	

Table 23 : Slot error register when bmCommandStatus = 1

## Slot Status register:

Off	set	Field	Size	Value	Description
(	0	bmICCStatus	2 bits	0, 1, 2	0 – An ICC is Present and active (power is on and stable, RST is Inactive)



				1 – An ICC is present and inactive (not activated or shut down by hardware error) 2 – No ICC is Present 3 – RFU
2	bmRFU	4bits	0	RFU
6	bmCommandStatus	2 bits	0, 1, 2	0 – Processed without error 1 – Failed (error code provided by error register) 2 – Time Extension is requested 3 – RFU

Table 24: Slot Status register

When the bmCommandStatus field is 0 indicating the command processed without error or when the bmCommand field is an RFU value, then the slot's error register is RFU.

When the bmCommandStatus field is 1 indicating the command failed, then the slot's error register is set with a signed 8-bit value.

When the bmCommandStatus field is 2, indicating a time extension is requested, then the slot's error register contains the multiplier value of BWT when the protocol is T=1 or the multiplier value of WWT when the protocol is T=0.

Command processed without error: Slot Status register = 0xh

Command failed (error code provided by the error register): Slot Status register = 4xh

Time extension is requested (slot error register = time multiplier): Slot Status register = 80h

x = 0: Card present and active

x = 1: Card present and inactive

x = 2: Card not present

#### For the contactless interface:

An ICC is present and active when a contactless card was detected and a PC to RDR PowerOn command was processed without error.

An ICC is Present and inactive when contactless card was detected and no PC\_to\_RDR\_PowerOn was processed or processed with error or the ICC was shut down by a PC\_to\_RDR\_PowerOff command or after an error.

### For the contact smart card interface:

An ICC is present and active when a card is inserted and powered (the PC\_to\_RDR\_PowerOn command was processed without error).

An ICC is Present and inactive when card is inserted and not powered (the PC\_to\_RDR\_PowerOn was processed or processed with error or the ICC was shut down by a PC to RDR PowerOff command or after an error).

## Interrupt in messages for the contact card interface

The Interrupt-In endpoint is used to notify the host of events that may occur asynchronously and outside the context of a command-response exchange between host and CCID. If the host has sent a Bulk-Out message and is waiting for a Bulk-In message in response, and one of these events occurs, then the Bulk-In message may heave duplicate information

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related to the event.

Only the RDR\_to\_PC\_NotifySlotChange message is implemented.

The RDR to PC HardwareError message is not implemented.

## RDR\_to\_PC\_NotifySlotChange

This message is sent whenever the CCID device detects a change in the insertion status of an ICC slot. If an ICC is either inserted or removed from a slot, this message must be sent. The presence of this message means to the host driver that a change has occurred. It is possible for more than one change to occur between deliveries of RDR\_to\_PC\_NotifySlotChange messages.

When the USB bus is resumed from a suspended state, both the CCID and the host driver must make identical assumptions about the state of the ICC slots. For simplicity, the specification requires that both CCID and host driver shall presume that all slots are empty. Therefore, after resumption from suspend, the CCID shall report all occupied ICC slots using this message.

Offset	Field	Size	Value	
0	bMessageType	1	50h	
1	bSlotICCState	50		This field is reported on byte granularity. The size is (2 bits * number of slots) rounded up to the nearest byte. Each slot has 2 bits. The least significant bit reports the current state of the slot (0b = no ICC present, 1b = ICC present). The most significant bit reports whether the slot has changed state since the last RDR_to_PC_NotifySlotChange message was sent (0b = no change, 1b = change). If no slot exists for a given location, the field returns 00b in those 2 bits. Example: A 3 slot CCID reports a single byte with the following format: Bit 0 = Slot 0 current state Bit 1 = Slot 0 changed status Bit 2 = Slot 1 current state Bit 3 = Slot 1 changed status Bit 4 = Slot 2 current state Bit 5 = Slot 2 changed status Bit 6 = 0b Bit 7 = 0b

For the **contact smart card Interface** only slot 0 is defined. Therefore, bSlotICCState can have the following value:

- 00h: no ICC present, no change since the last RDR\_to\_PC\_NotifySlotChange message was sent
- 01h: ICC present, no change since the last RDR\_to\_PC\_NotifySlotChange message was sent
- 02h: no ICC present, the slot has changed state since the last RDR\_to\_PC\_NotifySlotChange message was sent
- 03h: ICC present, the slot has changed state since the last RDR\_to\_PC\_NotifySlotChange message was sent

Oduction



Prox-DU & Prox-SU

## CCID device for the contactless interface

# Command pipe bulk-out messages for the contactless interface

The following CCID commands are implemented for the contactless interface:

For driver compatibility reasons, some of these commands or their parameters will do any thing but the response will be like the functionality works.

- PC\_to\_RDR\_lccPowerOn
- PC\_to\_RDR\_lccPowerOff
- PC\_to\_RDR\_GetSlotStatus
- PC\_to\_RDR\_XfrBlock
- PC\_to\_RDR\_GetParameters
- PC\_to\_RDR\_ResetParameters
- PC to RDR SetParameters
- PC\_to\_RDR\_Escape
- PC to RDR Abort

The following CCID command messages are not implemented

- PC\_to\_RDR\_lccClock
- PC\_to\_RDR\_T0APDU
- PC to RDR Secure
- PC\_to\_RDR\_Mechanical
- PC\_to\_RDR\_SetDataRateAndClockFrequency

In the following paragraphs for all the command messages:

- bSlot must be set to 00h
- bSeq is not checked

### PC\_to\_RDR\_lccPowerOn command

This command acts like a power on of a contact card.

Cold reset and warm reset are possible but the pseudo ATR will be always the same.

This command is rejected if no contactless card is currently declared present.

The bPowerSelect parameter is checked to verify its integrity but is not used.

Offset	Field	Size	Value	Description
0	bMessageType	1	62h	PC_to_RDR_lccPowerOn
1	bwLength	4	00000000h	
5	bSlot	1	00h	Slot 0
6	bSeq	1	00-FFh	Sequence number for the command
7	bPowerSelect	1	00h-03h	Voltage that is applied to the ICC 00h – automatic voltage selection 01h – 5.0V 02h – 3.0v 03h – 1.8V

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				For a contactless card this parameter don't care
8	abRFU	2	0000h	Reserved for future used

The response to this command message is the RDR to PC DataBlock response message.

The data returned is the pseudo ATR computed according to the PC/SC specification.

#### After a successful Power On, the T=1 protocol is automatically selected.

The PC\_to\_RDR\_GetParameters command message will return the corresponding parameters.

## PC\_to\_RDR\_lccPowerOff command

This command acts like a power off of a contact card.

Offset	Field	Size	Value	Description
Oliset		OIZC		- W. • W
0	bMessageType	1	63h	PC_to_RDR_lccPowerOff
1	bwLength	4	00000000h	
5	bSlot	1	00h	Slot 0
6	bSeq	1	00-FFh	Sequence number for the
				command
7	abRFU	3	000000h	Reserved for future used

The response to this command message is the RDR to PC SlotStatus response message.

## PC\_to\_RDR\_GetSlotStatus command

This command is used to retrieve the current slot status:

- No ICC is present
  - No card detected in the RF field
- An ICC is present and inactive
  - A card is present but the PC\_to\_RDR\_PowerOn command was not executed.
- An ICC is present and active
  - A card is present and the PC\_to\_RDR\_PowerOn command was successfully executed.

Offset	Field	Size	Value	Description
0	bMessageType	1	65h	PC_to_RDR_GetSlotStatus
1 1	bwLength	4	00000000h	
5	bSlot	1	00h	Slot 0
6	bSeq	1	00-FFh	Sequence number for the command
7	abRFU	3	000000h	Reserved for future used

The response to this command message is the RDR\_to\_PC\_ SlotStatus response message.

## PC to RDR XfrBlock command

This command will be rejected if no contactless card is declared present and powered.

The parameter bBWI is not managed because this parameter is only use by CCIDs which use the character level and TPDU level of exchange (as reported in the dwFeature parameter in the CCID functional descriptor) and only for T=1 transfers.



dwFeature defines Short APDU for the contactless interface.

For a Mifare cards the data must be an APDU command as define in PC/SC specification.

For ISO14443-4 cards the data are send "as it" using the T=CL protocol.

Offset	Field	Size	Value	Description
0	bMessageType	1	6Fh	PC_to_RDR_XfrBlock
1	bwLength	4		Size of the abData field
5	bSlot	1	00h	Slot 0
6	bSeq	1	00-FFh	Sequence number for the command
7	bBWI	1	00-FFh	Used to extend the CCIDs Block Waiting Timeout for this current transfer. The CCID will timeout the block after "this number multiplied by the block Waiting Time" has expired
8	wLevelParameter	2	0000h	Use changes depending of the exchange level reported by the class descriptor in dwFeature field For the contactless interface: Short APDU level, RFU = 0000h
10	abData	Byte Array	4 to 261	Data block sent to the CCID. For a T=CL card this data are send "as it" using the T=CL protocol. For a Mifare card: the data must be an APDU command as defined in PC/SC specification.

The command message length = 10 + Card command length.

The maximum length in case of Short ADPU = 10 + 261 = 271 bytes.

The response to this command message is the RDR\_to\_PC\_DataBlock response message.

## PC\_to\_RDR\_GetParameters command

This command is used to retrieve the current slot parameters.

Offset	Field	Size	Value	Description
0	bMessageType	1	6Ch	PC_to_RDR_GetParameters
1	bwLength	4	00000000h	
5	bSlot	1	00h	Slot 0
6	bSeq	1	00-FFh	Sequence number for the
				command
7	abRFU	3	000000h	Reserved for future used

All parameters have no meaning for a contactless card, except the bNadValue parameter in the Protocol data structure for protocol T=1.

This value is used for the NAD field of the T=CL protocol.

Other parameters are not used but are stored with the command PC\_to\_RDR\_SetParameter or set to default value with the command PC\_toRDR\_ResetParameter to be send back in the response message RDR\_to\_PC\_Parameters.

The response to this command message is the RDR\_to\_PC\_Parameters response message.



## PC\_to\_RDR\_ResetParameters Command

This command is used to reset the current slot parameters.

Offset	Field	Size	Value	Description
0	bMessageType	1	6Dh	PC_to_RDR_ResetParameters
1	bwLength	4	00000000h	
5	bSlot	1	00h	Slot 0
6	bSeq	1	00-FFh	Sequence number for the command
7	abRFU	3	000000h	Reserved for future used

All parameters have no meaning for a contactless card, except the bNadValue parameter in the Protocol data structure for protocol T=1.

This value is used for the NAD field of the T=CL protocol.

Other parameters are not used but are and stored to be send back in the response message RDR\_to\_PC\_Parameters.

The response to this command message is the RDR\_to\_PC\_Parameters response message.

## PC\_to\_RDR\_SetParameters command

This command is used to change the slot parameters.

Offset	Field	Size	Value	Description
0	bMessageType	1.	61h	PC_to_RDR_SetParameters
1	bwLength	4		Size of the
	4	<b>7</b>		abProtocolDataStructure field
5	bSlot	71	00h	Slot 0
6	bSeq	1	00-FFh	Sequence number for the
				command
7	bProtocolNum	1	01h	Specifies what protocol data
				structure follows.
				00h = structure for protocol T=0
				01h structure for protocol T=1
	4			Only T=1 structure will be used for
				the contactless interface
8	abRFU	2		Reserved for future use
10	abProtocolDataStructure	Byte		Protocol Data Structure
		array		

All parameters have no meaning for a contactless card, except the bNadValue parameter in the Protocol data structure for protocol T=1.

This value is used for the NAD field of the T=CL protocol.

Other parameters are not used but are be stored to be sent back in the response message RDR\_to\_PC\_Parameters.

Protocol data structure for protocol T=0 (bProtocolNum = 0) (dwLength = 00000005h):

Offset	Field	Size	Value	Description
10	bmFindexDindex	1		b7-4 – FI selecting a clock rate
				conversion factor
				b3-0 – DI selecting a baud rate



				conversion factor
11	bmTCCKST0	1	00h, 02h	For T=0, b0 – 0b b7-2 – 000000b b1 = 0 direct convention CCID ignores bit b1
12	bGuardTimeT0	1	00h-FFh	Extra Guard Time between two characters. Add 0 to 254 etu to the normal guard time 12 etu. FFh is the same as 00h
13	bWaitingIntegerT0	1	00h-FFh	WI for T=0 used to define WWT
14	bCLockStop	1	00h- 03h	ICC clock stop support 00h = Stopping the clock is not allowed

Protocol data structure for protocol T=1 (bProtocolNum = 1) (dwLength = 00000007h):

Offset	Field	Size	Value	Description
10	bmFindexDindex	1		b7-4 – FI
				b3-0 – DI
11	bmTCCKST1	1	10h, 11h,	For T=0,
			12h, 13h	b7-2 = 000100b
				b0 = 0b - Checksum LRC
				b0 = 1b – Checksum CRC
				b1 = 0 - direct convention
				CCID ignores bit b1
12	bGuardTimeT1	1	00-FFh	Extra Guard Time between two
				characters. If value FFh, then
			1	guard time is reduced by 1 etu.
13	bWaitingIntegersT1	1	00-9Fh	b7-b4 = BWI value 0-9 valid
		66		b3-b0 = CWI value 0-F valid
14	bCLockStop	1	00h- 03h	ICC clock stop support
		7		00h = Stopping the clock is not
				allowed
15	blfsc	1	01-FEh	Size of negotiated IFSC
16	bNadValue	1		Value = 00h if CCID doesn't
				support a value other than the
				default value.
				Else the value respects ISO/IEC
				7816-3 9.4.2.1

The response to this message is the RDR\_to\_PC\_Parameters response message.

## PC\_to\_RDR\_Escape command

This command allows the CCID manufacturer to define and access extended features. Information sent via this command is processed by the CCID control logic.

Offset	Field	Size	Value	Description
0	bMessageType	1	6Bh	PC_to_RDR_Escape
1	bwLength	4		Size of the abData field
5	bSlot	1	00h	Slot 0
6	bSeq	1	00-FFh	Sequence number for the command
7	abRFU	3	000000h	Reserved for Future Used
10	abData	Byte array		Data block sent to the CCID

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The detail of the commands in the adData field is described in the following paragraph.

The response to this message is the RDR to PC Escape response message.

#### **Switch interface**

This command is used to switch to the contactless interface after a contact card is inserted and to switch back to the contact card interface.

This command act as the "Switch interface" command defined in the "Proprietary Commands on the HID Interface" and the format in the abData field is the same.

#### Card movement notification enable

This command is used to disable or enable the card movement notification to the host on the Interrupt Endpoint of the CCID interface.

At startup the card movement notification is enabled.

Note: This command is useful only with Linux operating system. Do not use this command with Microsoft operating system.

The command format is the following:

Offset	Field	Size	Value	Description
0	bCommandFamily	1	52h	Reader command
1	bCommandType	1	F8h	Management
2	bCommand	1	06h	06h
3, 4	wLength	2	0001h	Size of the Data field
5	bNotification	1	0x00	0x00 = notification disabled
		. 4	0x01	0x01 = notification enabled

The response format is the following:

		All A		
Offset	Field	Size	Value	Description
0,1	abStatus	2	XX XX	Command Status execution
2. 3	wLength	2	0000h	Size of the Data field

#### Firmware version

This command is useful for the Gemalto CCID driver.

The format is the same than the command of the GemCore POS Pro chip.

abData field = 0x02

The response is the Prox–DU or SU string version as defined in the "Firmware Versioning Rules" paragraph.

### PC to RDR Abort command

This command is used with the control pipe Abort request to tell the CCID to stop any current transfer at the specified slot and return to a state where the slot is ready to accept a new command pipe Bulk-Out message.

Offset	Field	Size	Value	Description
0	bMessageType	1	72h	PC_to_RDR_Escape
1	bwLength	4	00000000h	
5	bSlot	1	00h	Slot 0
6	bSeq	1	00-FFh	Sequence number for the command



7	abRFU	3	000000h	Reserved for Future Used

The response to this message is the RDR\_to\_PC\_SlotStatus response message.

# Response pipe bulk-in messages for the contactless interface

The following CCID messages are implemented for the contactless interface:

- RDR\_to\_PC\_DataBlock
- RDR\_to\_PC\_SlotStatus
- RDR to PC Parameters
- RDR\_to\_PC\_Escape

The following CCID message is not implemented:

RDR\_to\_PC\_DataRateAndClockFrequency

## RDR\_to\_PC\_DataBlock Command

This message is the response to the PC\_to\_RDR\_IccPowerOn and the PC to RDR XfrBlock command messages.

For the PC\_to\_RDR\_PowerOn commande message this response message contains the pseudo ATR data associated with the contactless card.

For the PC\_to\_RDR\_XfrBlock command message this response message contains the card response.

If the card is a T=CL card: the card response is send "as it". (Only the data of the INF field of the T=CL block).

If the card is a MIFARE<sup>®</sup> card: the card response is the data read in the card followed by a status word SW1SW2 or only the status, according to the PC/SC specification.

Offset	Field	Size	Value	Description
0	bMessageType 🦱	1	80h	RDR_to_PC_DataBlock
1	bwLength	4		Size of the abData field
5	bSlot	1	00h	Slot number : Same as Bulk-Out message
6	bSeq	1	00-FFh	Sequence number : Same as Bulk- Out message
7	bStatus	1	00h, 01h,	Slot status register:
			02h, 40h,	0Xh = no error
	e 60		41h, 42h,	4Xh = command failed
A S			80h	80h Time extension request
				X = 0 card present and active
				X = 1 card present and inactive
				X = 2 card not present
8	bError	1	00h-FFh	Slot error register:
				Error when bStatus = 4Xh
				Time multiplier when bStatus = 0x80h
9	bChainParameter	1	00h	Depends on the exchange level
				reported by the class descriptor in
				dwFeature field.
				Short APDU level, this field is RFU
				= 00h
10	abData	Byte		This field contains the data

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a wrant	returned by the CCID
array	returned by the CCID

The response message length = 10 + Card response length (or ATR length).

The maximum length in case of Short ADPU = 10 + 258 = 268 bytes.

## RDR to PC SlotStatus Command

This message is the response to the PC\_to\_RDR\_IccPowerOff, PC\_to\_RDR\_GetSlotStatus and PC\_to\_RDR\_Abort commands.

Offset	Field	Size	Value	Description
0	bMessageType	1	81h	RDR_to_PC_SlotStatus
1	bwLength	4	00000000h	
5	bSlot	1	00h	Slot number : Same as Bulk-Out message
6	bSeq	1	00-FFh	Sequence number : Same as Bulk- Out message
7	bStatus	1	00h, 01h, 02h, 40h, 41h, 42h	Slot status register:  0Xh = no error  4Xh = command failed  X = 0 card present and active  X = 1 card present and inactive  X = 2 card not present
8	bError	1	00h-FFh	Slot error register: Error when bStatus = 4Xh
9	bClockStatus	1	00h	Value = 00h – Clock running

## RDR\_to\_PC\_Parameters Command

This message is the response to the PC\_to\_RDR\_GetParameters, PC\_to\_RDR\_ResetParameters and PC\_to\_RDR\_SetParameters commands.

All parameters have no meaning for a contactless card, except the bNadValue parameter in the Protocol data structure for protocol T=1.

This value is used for the NAD field of the T=CL protocol.

Other parameters are not used but are stored with the command PC\_to\_RDR\_SetParameter or set to default value with the command PC\_toRDR\_ResetParameter to be send back in the response message RDR\_to\_PC\_Parameters.

Offset	Field	Size	Value	Description
0	bMessageType	1	82h	RDR_to_PC_Parameters
1	bwLength	4		Size of the
				abProtocolDataStructure field
5	bSlot	1	00h	Slot number : Same as Bulk-Out
				message
6	bSeq	1	00-FFh	Sequence number : Same as Bulk-
				Out message
7	bStatus	1	00h, 01h,	Slot status register:
			02h, 40h,	0Xh = no error
			41h, 42h	4Xh = command failed
				X = 0 card present and active
				X = 1 card present and inactive

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				X = 2 card not present
8	bError	1	00h-FFh	Slot error register:
				Error when bStatus = 4Xh
9	bProtocolNum	1	00h, 01h	Specifies what protocol data
				structure follows.
				00h = structure for protocol T=0
				01h = structure for protocol T=1
10	abProtocolDataStructure	Byte	00h, 01h	Protocol data structure
		array		

Protocol data structure for protocol T=0 (bProtocolNum = 0) (dwLength = 00000005h):

Offset	Field	Size	Value	Description
10	bmFindexDindex	1		b7-4 – FI selecting a clock rate
				conversion factor
				b3-0 – DI selecting a baud rate
				conversion factor
11	bmTCCKST0	1	00h, 02h	For T=0,
				b0 – 0b
				b7-2 – 000000b
				b1 = 0 direct convention used
12	bGuardTimeT0	1	00h-FFh	Extra Guard Time between two
				characters. Add 0 to 254 etu to the
				normal guard time 12 etu. FFh is
				the same as 00h
13	bWaitingIntegerT0	1	00h-FFh	WI for T=0 used to define WWT
14	bCLockStop	1	00h- 03h	ICC clock stop support
			()	00h = Stopping the clock is not
			1	allowed

The default values are the following:

bmFindexDindex : 11h

bmTCCKST0 : 00h
bGuardTimeT0 : 00h
bWaitingIntegerT0 : 0Ah
bCLockStop : 00h

Protocol data structure for protocol T=1 (bProtocolNum = 1) (dwLength = 00000007h):

Offset	Field	Size	Value	Description
10	bmFindexDindex	1		b7-4 – FI selecting a clock rate conversion factor b3-0 – DI selecting a baud rate conversion factor
11	bmTCCKST1	1	10h, 11h, 12h, 13h	For T=1, b7-2 = 000100b b0 = 0b - Checksum type LRC b0 = 1b - Checksum type CRC b1 = 0 - direct convention used
12	bGuardTimeT1	1	00-FFh	Extra Guard Time between two characters. If value FFh, then guard time is reduced by 1 etu.
13	bWaitingIntegersT1	1	00-9Fh	b7-b4 = BWI value 0-9 valid

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				b3-b0 = CWI value 0-F valid
14	bCLockStop	1	00h- 03h	ICC clock stop support
				00h = Stopping the clock is not
				allowed
15	blfsc	1	01-FEh	Size of negotiated IFSC
16	bNadValue	1		NAD value used by CCID

The default values are the following:

bmFindexDindex : 11h
bmTCCKST1 : 10h
bGuardTimeT1 : 00h
bWaitingIntegersT1 : 4Dh
bCLockStop : 00h
blfsc : 20h
bNadValue : 00h

## RDR\_to\_PC\_Escape Command

This message is the response to the PC\_to\_RDR\_Escape command.

Offset	Field	Size	Value	Description
0	bMessageType	1	83h	RDR_to_PC_Escape
1	bwLength	4		Size of the abData field
5	bSlot	1	00h	Slot number : Same as Bulk-Out
				message
6	bSeq	1	00-FFh	Sequence number : Same as Bulk-
				Out message
7	bStatus	1	00h, 01h,	Slot status register:
			02h, 40h,	0Xh = no error
		7	41h, 42h	4Xh = command failed
				X = 0 card present and active
	5			X = 1 card present and inactive
				X = 2 card not present
8	bError	1	00h-FFh	Slot error register:
				Error when bStatus = 4Xh
9	bRFU	1	00h	Reserved for Future Used
10	abData	Byte		Data sent from CCID
		array		

# Reporting slot error and slot status registers in bulk-in messages for the contactless interface

Each bulk-in message contains the values of the Slot Error register (bError) and the Slot Status register (bStatus).

Theses values are the same as defined in the "Reporting slot error and slot status registers in bulk-in messages for the contact interface" paragraph.

## Interrupt in messages for the contactless card interface

The Interrupt-In endpoint is used to notify the host of events that may occur asynchronously and outside the context of a command-response exchange between host and CCID. If the host has sent a Bulk-Out message and is waiting for a Bulk-In message in response, and



one of these events occurs, then the Bulk-In message may heave duplicate information related to the event.

Only the RDR\_to\_PC\_NotifySlotChange message is implemented.

The RDR\_to\_PC\_HardwareError message is not implemented.

## RDR to PC NotifySlotChange message

This message is sent whenever the CCID device detects a change in the insertion status of an ICC slot. If an ICC is either inserted or removed from a slot, this message must be sent. The presence of this message means to the host driver that a change has occurred. It is possible for more than one change to occur between deliveries of RDR to PC NotifySlotChange messages.

When the USB bus is resumed from a suspended state, both the CCID and the host driver must make identical assumptions about the state of the ICC slots. For simplicity, the specification requires that both CCID and host driver shall presume that all slots are empty. Therefore, after resumption from suspend, the CCID shall report all occupied ICC slots using this message.

Offset	Field	Size	Value	
0	bMessageType	1	50h	.(0)
1	bSlotICCState	550		This field is reported on byte granularity. The size is (2 bits * number of slots) rounded up to the nearest byte. Each slot has 2 bits. The least significant bit reports the current state of the slot (0b = no ICC present, 1b = ICC present). The most significant bit reports whether the slot has changed state since the last RDR_to_PC_NotifySlotChange message was sent (0b = no change, 1b = change). If no slot exists for a given location, the field returns 00b in those 2 bits. Example: A 3 slot CCID reports a single byte with the following format: Bit 0 = Slot 0 current state Bit 1 = Slot 0 changed status Bit 2 = Slot 1 current state Bit 3 = Slot 1 changed status Bit 4 = Slot 2 current state Bit 5 = Slot 2 changed status Bit 6 = 0b Bit 7 = 0b

For the **Contactless Interface** only slot 0 is defined. Therefore, bSlotICCState can have the following value:

- 00h: no ICC present, no change since the last RDR\_to\_PC\_NotifySlotChange message was sent
- 01h: ICC present, no change since the last RDR\_to\_PC\_NotifySlotChange message was sent
- 02h: no ICC present, the slot has changed state since the last RDR to PC NotifySlotChange message was sent
- 03h: ICC present, the slot has changed state since the last RDR\_to\_PC\_NotifySlotChange message was sent

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# **HID Devices**

The HID class consists primarily of devices that are used by humans to control the operation of computer systems as keyboard and standard mouse.

Other devices that may not require human interaction but provide data in a similar format can also be defined as HID class devices.

Therefore, the HID class definition includes support for various types of output directed to the end user.

The Prox–DU and Prox–SU reader/writers use a vendor defined HID interface for the device administration.

# Gemalto proprietary commands

The HID interface is used for device administration using Gemalto proprietary commands.

The commands are coded using the following format:

Offset	Field	Size	Value	Description
0	bCommandFamily	1	XX	Code of the command family
1	bCommandType	1	XX	Code of the command type
2	bCommand	1	XX	Code of the command
3, 4	wLength	2	XXXX	Size of the Data field
5		Х	XX	Optional Data of the command

#### bCommandFamily:

52h = Reader command

53h = Bootloader command

## bCommandType:

F8h = Management command

F9h = Download Management

#### bInstruction:

Code of the command to execute (detail in the following paragraphs)

#### wLength:

This is the number of byte of the optional Data field.

If no data are present, wLength = 0000h

The response of the commands uses the following format:

Offset	Field	Size	Value	Description
0,1	abStatus	2	XX XX	Command Status execution
2, 3	wLength	2	XXXX	Size of the Data field
4		Х		Optional Data of the response

#### abStatus:

This is the command execution status:

 $0x00 \ 0x00 = OK$ 

Other values report an error:

The first byte is the origin of the error

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0xFF: General common error

0xFB: Bootloader

The second byte is the error code

Refer to the "HID commands error codes" paragraph for more information about the error status values.

#### wLength:

This is the number of byte of the optional Data field.

If no data are present, wLength = 0000h

#### Convention:

Placeholder prefixes such as 'b' 'w' ab' are used to denote placeholder type

b : byte (1 bytes) w : word (2 bytes)

dw: double word (4 bytes)

ab: array of bytes

The field that are larger than a byte are stored in little Indian (LSB first).

## **Proprietary commands**

All the proprietary commands and response are sent using the HID interface.

The general proprietary commands are the followings:

- Firmware version request
- Read EEPROM parameters
- Write EEPROM parameters
- Switch interface
- Read switch interface state
- Reset reader
- Start download
- Download firmware file
- End download

### Firmware version request command

This command enables the user to retrieve the reader firmware version.

The command format is the following:

Offset	Field	Size	Value	Description
0	bCommandFamily	1	52h	Reader command
1	bCommandType	1	F8h	Management
2	bCommand	1	02h	Firmware version request
3, 4	wLength	2	0000h	Size of the Data field

The response format is the following:

Offset	Field	Size	Value	Description
0,1	abStatus	2	XX XX	Command Status execution
2, 3	wLength	2	XXXX	Size of the Data field
4	abData	n		firmware version string

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The firmware version string is defined in the paragraph "Firmware versioning rules".

The abStatus field can report a possible execution error.

Note: When the bootloader is running, this command enables the user to determine the Bootloader version. The response can be checked to confirm if the bootloader is running or if the reader firmware is running.

## Read EEPROM parameters command

This command allows reading the EEPROM parameters contents.

The command format is the following:

Offset	Field	Size	Value	Description
0	bCommandFamily	1	52h	Reader command
1	bCommandType	1	F8h	Management
2	bCommand	1	00h	Read EEPROM
3, 4	wLength	2	0002h	Size of the Data field
5	boffset	1	00h-41h	EEPROM Offset (0 to 65)
6	bNbBytes	1	01h-42h	Number of byte to read (1 to 66)

Warning: Only 66 bytes from offset 00h to 41h can be read. Then (offset + Nb bytes) must be lower or equal to 42h.

The response format is the following:

Offset	Field	Size	Value	Description
0,1	abStatus	2	XX XX	Command Status execution
2, 3	wLength	2	00XXh	Size of the Data field (2 + bNbBytes)
4	bNbBytes	1	01h-42h	Number of byte read (1 to 66)
5	abDataEEPROM	n		Data read in the EEPROM

The abStatus field can report a possible execution error.

### Write EEPROM parameters command

This command allows writing the EEPROM parameters contents.

The command format is the following:

	A 42 A			
Offset	Field	Size	Value	Description
0	bCommandFamily	1	52h	Reader command
1	bCommandType	1	F8h	Management
2	bCommand	1	01h	Write EEPROM
3, 4	wLength	2	XXXXh	Size of the Data field (2+n)
5	bOffset	1	00h-41h	EEPROM Offset (0 to 65)
6	bNbBytes	1	01h-42h	Number of byte to write (1 to 66)
7	abDataEEPROM	n		Data to write in the EEPROM

Warning: Only 66 bytes from offset 00h to 41h can be written. Then (offset + Nb bytes) must be lower or equal to 42h. Else no byte will be written.

The response format is the following:

Offset	Field	Size	Value	Description
0,1	abStatus	2	XX XX	Command Status execution
2. 3	wLenath	2	0000h	Size of the Data field

The abStatus field can report a possible execution error.

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#### Switch interface command

This command is used to switch to the contactless interface after a contact card is inserted and to switch back to the contact card interface.

The command format is the following:

Offset	Field	Size	Value	Description
0	bCommandFamily	1	52h	Reader command
1	bCommandType	1	F8h	Management
2	bCommand	1	04h	04h = Switch interface
3, 4	wLength	2	0001h	Size of the Data field
5	bInferface	1	01h,02h	01h = Switch to contactless interface
				02h = Switch to contact interface

The response format is the following:

Offset	Field	Size	Value	Description
0,1	abStatus	2	XX XX	Command Status execution
2, 3	wLength	2	0000h	Size of the Data field

The abStatus field can report a possible execution error.

#### Read switch interface state command

This command is used to read the current state of the interface switch.

The command format is the following:

Offset	Field	Size	Value	Description
0	bCommandFamily	1	52h	Reader command
1	bCommandType	1	F8h	Management
2	bCommand	1	03h,	03h = Read current interface
		66	) ~	switch state
3, 4	wLength	2	0000h	Size of the Data field

The response format is the following:

		lbad?		
Offset	Field	Size	Value	Description
0,1	abStatus	2	XX XX	Command Status execution
2, 3	wLength	2	0001h	Size of the Data field
4	bInferface	1	00h, 01h,	Current interfaces switch state
			02h	00h = initial state
				01h = contactless interface
				02h = contact interface

The abStatus field can report a possible execution error.

#### Notes:

Current interfaces switch state = 00h when no contactless card is detected and no contact card is inserted in the reader slot. This corresponds to the state 0 of the dual power security manager.

Current interfaces switch state = 01h when a contactless card is detected and a contact card is inserted or not in the reader slot. This corresponds to the state 1,3 and 4 of the dual power security manager.

Current interfaces switch state = 02h when no contactless card is detected and a contact card is inserted in the reader slot. This corresponds to the state 2 of the dual power security manager.

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#### Reset reader command

This command is used to reset the Prox-DU.

The command format is the following:

Offset	Field	Size	Value	Description
0	bCommandFamily	1	52h	Reader command
1	bCommandType	1	F8h	Management
2	bCommand	1	05h	03h = Read current interface switch state
				04h = Switch interface
3, 4	wLength	2	0000h	Size of the Data field

The response format is the following:

Offset	Field	Size	Value	Description
No answer for this command				

#### Start download command

This command is sent by the host to the reader.

The command operates in different ways depending on the current firmware mode.

If the reader runs in the kernel mode:

When this command is received.

All the reader operation are terminated,

A removal card message is send for the two interface,

An indicator (ApplicationValid byte) is cleared for the boot loader to stay in download operations.

An acknowledge is sent to the Host using the HID interface.

The reader is restarted, using the microcontroller watch dog.

If the reader already runs in the boot mode (that means that the user pushed the rescue button):

The indicator (ApplicationValid byte) is NOT cleared. (it allows the user to reboot the reader & start the previous application without updating it)

An acknowledge is sent to the Host using the HID interface.

The command format is the following:

Offset	Field	Size	Value	Description
0	bCommandFamily	1	53h	Bootloader command
1	bCommandType	1	F9h	Download Management
2	bCommand	1	00h	Start Download
3, 4	wLength	2	0000h	Size of the Data field

The response format is the following:

Offset	Field	Size	Value	Description
0,1	abStatus	2	XX XX	Command Status execution
2, 3	wLength	2	0000h	Size of the Data field

The abStatus field can report a possible execution error.

#### Download firmware file command

This command is used to download the file that contains the reader firmware data.

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This command is only available when the boot-loader is running.

Refer to the "Boot-Loader" paragraph for more information.

#### End download command

This command is the last command of the download process.

This command is only available when the boot-loader is running.

Refer to the "Boot-Loader" paragraph for more information.

# **HID Library**

A library is available for the following operating systems:

- Windows
- Linux
- Mac OS X

This library supports all the commands listed in the previous paragraph.

## **HID Commands Error Codes**

In the following table:

- The MSB byte correspond to the first byte reported in the abStatus field of the HID response
- The LSB byte correspond to the second byte reported in the abStatus field of the HID response

Error label	Value	Meaning			
ERR_OK	0000h	Execution OK			
Wrong comman	d or wrong da	ata parameters (command rejected)			
ERR_BAD_COMMAND	FF82h	Wrong command bytes			
ERR_BAD_PARAM	FF83h	Wrong data parameters			
E	Error during EEPROM operations				
ERR_EEPROM_READ	FFA9h	Read EEPROM error			
ERR_EEPROM_WRITE	FFAAh	Write EEPROM error			
Other codes FFxxh not listed are RFU					

Table 25: Common error codes

Error label Value		Meaning		
Wrong state to exe	cute the com	mand or function (command rejected)		
ERR_TYPE_AB	FE84h	Wrong command for the current type of		
		modulation		
Lo	w level card	communication error		
ERR_RF	FEB0h	Low level RF error		
Erro	or during car	d activation exchange		
(WUPA, REC	A, ANTICOL	LISION, SELECT, RATS, PPS)		
ERR_ATQA	FE89h	Wrong ATQA response		
ERR_UID	FE8Ah	Wrong CT byte in the uid		
ERR_SAK	FE8Bh	Wrong SAK response		
ERR_ATS	FE8Ch	Wrong ATS response		
ERR_PPS	FE8Dh	Wrong PPS response		
Error during card activation	n exchange	(WUPB, REQB, SLOT_MARKER, ATTRIB)		
ERR_ATQB	FE8Eh	Wrong ATQB response		
ERR_HALTB	FE8Fh	Wrong Halt B response		

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ERR_ATTRIB_RESP	FE90h	Wrong Attrib response	
Wrong condition for RATS, PPS,		, ATTRIB T=CL or Deselect exchange	
ERR_CID_NOT_FREE	FE91h	The CID is not free (RATS or ATTRIB)	
ERR_CID	FE92h	The CID is not affected to a card. (PPS, Exchange T=CL block or Deselect)	
ERR_ACTIVATE_FORBID	FE93h	A card is already activated with CID = 0	
ERR_BIT_RATE	FE94h	The bit rate is not supported by the card (PPS	
		or ATTRIB)	
Error during T=CL block exchange			
ERR_TCL_PROTOCOL	FE95h	Infringement of protocol rule	
ERR_APPLI_BUF_OVF	FE96h	Card response too long for the application	
		buffer	
	rror during E	EPROM operations	
ERR_EEPROM_CRC FE9Bh		Wrong CRC check in EEPROM	
Contactless interface initialization failure			
ERR_NO_CTLESS FEFBh		The contactless is not operational	
Other codes FFxxh not listed a	re RFU		

Table 26 : Contactless commands error code

Error label	Value	Meaning
Wrong state to execute	the commar	nd or function (command rejected)
ERR_MFRC_AUTHENTICATION	FDA1h	Mifare Authentication failed
ERR_MFRC_CODE	FDA2h	Wrong Mifare card ACK/NAK response
ERR_MFRC_NOTAUTH	FDA3h	Mifare block operation not authorized
ERR_MFRC_BYTECOUNT	FDA4h	Wrong number of byte for Mifare read block
		response
ERR_MFRC_WRITE	FDA5h	Mifare write error
ERR_MFRC_VAL	FDA6h	Mifare Inc, Dec, Restore or Transfer error
ERR_MFRC_INCR	FDA7h	Mifare Increment or Decrement overflow or
		underflow

Table 27: Mifare commands execution error

Error label	Value	Meaning
Low lev	el card com	munication error
ERR_MFRC_PROTOCOL	FDB1h	SOF incorrect
		or incorrect number of received byte
		during Mifare Authentication
ERR_MFRC_FIFOOVFL	FDB2h	FIFO overflow
ERR_MFRC_CRC	FDB3h	CRC error during transmission
ERR_MFRC_COLLISION	FDB4h	Collision detected
ERR_MFRC_PARITY	FDB5h	Parity error during reception
ERR_MFRC_NY_IMPLEMENTED	FDB6h	Other error not implemented
ERR_MFRC_RECBUF_OVFL	FDB7h	Low level reception buffer overflow
ERR_MFRC_BITCOUNT	FDB8h	Wrong number of expected bits received
ERR_MFRC_SERNR	FDB9h	Wrong serial number DCC byte
ERR_MFRC_TIME_OUT	FDFEh	RF time out

Table 28: Contactless exchange error codes



# Firmware Versioning Rules

# Reader firmware string version

The string of the reader firmware version is composed of several fields:

<Name> <separator> <Release version> <separator> <Customer> <separator> <Casing/usage> <Order number>

Name: "Gemalto\_Pro\_DU" product name of Prox Dual reader

"Gemalto Prox SU" product name of Prox SU reader

Separator: "-"

Release version: "Vx.yz" release version number x.yz

Separator: "-"

Customer: "G" Gemalto

Casing/Usage: "XD" Official release

"W" working release

Order number: "nn" incremental number for each version. "00" to "99

It restarts to 00 when the release version number is

incremented

# **Boot-loader string version**

The string version of the boot-loader respects the same rules than the reader firmware version but the name is different.

Gemalto Prox BootU -Vx.yz-GXDnn

Name: "Gemalto Prox BootU"

Herreles



# **USB Descriptors**

This chapter provides information about the USB descriptors for the Prox-DU and Prox-SU devices.

# **Standard USB Descriptors**

## **Device descriptor**

The device is USB 2.0 compatible.

- VID = 08E6h
- PID = 5502h : Boot Loader Prox Dual
- PID = 5503h : Prox-DU
- PID = 5504h : Prox-SU
- PID = 5505h: reserved for future use

The reser	The reserved PID/VID of the device are the following:		
• VID = 08E6h			
• P	PID = 5502h : Boot Loader Prox Dual		
• P	PID = 5503h	: Prox–DU	
	ID = 5504h		
		: reserved for future use	
The devic	e nas one c	configuration.	
	T	Device Descriptor	
Offset	Value	Field	
0	0x12	bLength (18 bytes)	
1	0x01	bDescriptorType (Device)	
2	0x00	bcdUSB release number (2.00)	
	0x02	bcdoob release number (2:00)	
4	0x00	bDeviceClass	
5	0x00	bDeviceSubClass	
6	0x00	bDeviceProtocol	
7	0x20	bMaxPacketSize0 (Max packet size Endpoint 0 = 32 bytes)	
8	0xE6	IdVendor = 0x08E6	
0	80x0	Idvertuor – 0x00E0	
10	XX	IdProduct = 0x5502 / 0x5503 / 0x5504	
10	0x55	IdF10ddct	
12	0x00	BcdDevice = 0x0100 (Device release number 1.00)	
12	0x01	bcubevice – 0x0100 (bevice release fluitiber 1.00)	
14	0x01	iManufacturer (Index of string descriptor manufacturer = 1)	
15	0x02	iProduct (Index of string descriptor product = 2)	
16	0x03	iSerialNumber ((Index of string descriptor device serial number = 3)	
17	0x01	bNumConfigurations (Number of possible configurations)	

Table 29 - USB Device Descriptor

## **Configuration descriptor**

The device has three interfaces (one interface for the contact smart card, one interface for the contactless smart card and one interface for the administration of the device).

The device is "Bus Powered" (delivered from the USB cable, no external power).

The device doesn't support remote wake.

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The maximum power current is 200 mA.

Refer to chapter for the specific configuration descriptor when the boot loader is running

	Configuration Descriptor		
Offset	Value	Field	
0	0x09	bLength (9 bytes)	
1	0x02	bDescriptorType (configuration)	
	0xCA	wTotalLength of the Configuration Descriptor (configuration +	
2 0x00	0x00	interface + endpoint class specific) 9+ (9 + 9 + 7) + (9+54+7*3)x2 = 202	
4	0x03	bNumInterfaces (number of interface = 3)	
5	0x01	bConfigurationValue	
6	0x00	iConfiguration (ignored)	
7	0x80	bmAttributes (b6 = 0 Bus powered, b0 = 1 Remote Wake Up Not supported)	
8	0x64	MaxPower (100*2=200 mA)	

Table 30 – USB Configuration Descriptor

## Interfaces descriptors

The interface for the device administration is HID.

The class for the contactless smart card and contact smart card interfaces is Smart Card CCID.

These two interfaces have three endpoints.

	HID Interface Descriptor		
Offset	Value	Field	
0	0x09	bLength (9 bytes)	
1	0x04	bDescriptorType (Interface)	
2	0x00	bInterfaceNumber (Interface 1)	
3	0x00	bAlternateSetting	
4	0x01	bNumEndpoints (1 Endpoints)	
5	0x03	bInterfaceClass (HID class)	
6	0x00	bInterfaceSubClass (No subclass)	
7	0x00	bInterfaceProtocol (none)	
8	0x04	iInterface (index to interface string descriptor = 4)	

Table 31 – USB HID Interface Descriptor

	Contactless Smart Card Interface Descriptor		
Offset	Value	Field	
0	0x09	bLength (9 bytes)	
1	0x04	bDescriptorType (Interface)	
2	0x01	bInterfaceNumber (Interface 2)	
3	0x00	bAlternateSetting	
4	0x03	bNumEndpoints (3 Endpoints)	
5	0x0B	bInterfaceClass (Smart Card device class)	
6	0x00	bInterfaceSubClass (No subclass)	
7	0x00	bInterfaceProtocol (none)	
8	0x06	iInterface (index to interface string descriptor = 6)	

Table 32 – USB Contactless Smart Card Interface Descriptor

The interface for the contact smart card has three endpoints.

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The class for the contact smart card interface is Smart Card CCID.

	Contact Smart Card Interface Descriptor		
Offset	Value	Field	
0	0x09	bLength (9 bytes)	
1	0x04	bDescriptorType (Interface)	
2	0x02	bInterfaceNumber (Interface 3)	
3	0x00	bAlternateSetting	
4	0x03	bNumEndpoints (3 Endpoints)	
5	0x0B	bInterfaceClass (Smart Card device class)	
6	0x00	bInterfaceSubClass (No subclass)	
7	0x00	bInterfaceProtocol (none)	
8	0x05	iInterface (index to interface string descriptor = 6)	

Table 33 – USB Contact Smart Card Interface Descriptor

# **Device Class Descriptors**

**HID class descriptor** 

0.000 0.000			
	HID Class Descriptor		
Offset	Value	Field	
0	0x09	bLength (9 bytes)	
1	0x21	bDescriptorType	
2	0x00 0x01	bcdHID (1.00)	
4	0x00	bCountryCode (not supported)	
5	0x01	bNumDescriptors (1 report)	
6	0x22	bDescriptorType	
7	0x2F 0x00	wDescriptorLength (47 bytes)	

Table 34 - USB HID Class Descriptor

## **HID** interface endpoint descriptor

	HID Interface Endpoint Descriptor (endpoint 4 Interrupt In )		
Offset	Value	Field	
0	0x07	bLength (7 bytes)	
1	0x05	bDescriptorType (Endpoint)	
2	0x83	bEndpointAddress (b7=1 IN, b3-b0 = address 3	
3	0x03	bmAttributes (03h =Interrupt endpoint)	
4	0x08	wMayDookatSiza (9 hyton may)	
4	0x00	wMaxPacketSize (8 bytes max)	
6	0xFE	bInterval (254ms)	

Table 35 – USB HID Interface Endpoint Descriptor

## **HID** report descriptor

	HID Report Descriptor		
Offset	Value	Field	
0	06	Usage page (Vendor defined)	
1	00		



2	EE	7
2	FF	
3	09	Usage ID (Vendor defined 01)
4	01	
5	A1	Collection (Application)
6	01	1 1D
7	09	Input Report
8	02	Usage ID (Vendor defined 02)
9	15	Input Report
10	00	Logical Minimum (0)
11	26	Input Report
12	FF 75	Logical Maximum (255)
13	75	Input Report
14	08	Report Size (8) 8 bits per data
15	96	Input Report
16	16	Report Count (0x116) 278 x 8 bits
17	01	
18	81	Input (Data, Variable, Absolute)
19	02	
20	09	Output Report
21	03	Usage ID (Vendor defined 03)
22	15	Output Report
23	00	Logical Minimum (0)
24	26	Output Report
25	FF	Logical Maximum (255)
26	75	Output Report
27	08	Report Size (8) 8 bits per data
29	96	Output Report
29	16	Report Count (0x116) 278 x 8bits
30	01	` ` ` `
31	91	Output (Data, Variable, Absolute)
32	02	
33	09	Feature Report
34	04	Usage ID (Vendor defined 04)
35	15	Feature Report
36	00	Logical Minimum (0)
37	26	Feature Report
38	FF	Logical Maximum (255)
39	75	Feature Report
40	08	Report Size (8) 8 bits per data
41	96	Feature Report
42	16	Report Count (0x116) 278 x 8 bits
43	01	,
44	B1	Feature (Data, Variable, Absolute)
45	02	` '
46	C0	End of Collection (Application)

Table 36 – USB HID Report Descriptor

# Contactless smart card device class descriptor

	e Class Descriptor	



Offset	Value	Field
0	0x36	bLength (54 bytes)
1	0x21	bDescriptorType
2	0x10	hadCCID CCID (1.10 Class release number)
2	0x01	bcdCCID CCID (1.10 Class release number)
4	0x00	bmaxSlotIndex (1 slots)
5	0x01	bVoltageSupport = 0x01 b0 = 1 : 5V only (its virtual for contactless interface Only one voltage to ovoid multiple power on attempt)
	0x03	
	0x00	d Desta calls (h.d. d. source arts T. d. h.O. d. source arts T. O.)
6	0x00	dwProtocols (b1=1 supports T=1, b0=1 supports T=0)
	0x00	
	0xA0	
4.0	0x0F	
10	0x00	dwDefaultClock (4 MHz = 4000 KHz:= 0x00000FA0)
	0x00	-
	0xA0	
	0x0F	<del> </del>
14	0x00	dwMaximumClock (4 MHz = 4000 KHz:= 0x00000FA0))
	0x00	-
18	0x00	bNumClockSupported (Manual setting not allowed)
	0x00	The state of the
	0x2A	<del> </del>
19	0x00	dwDataRate (clock / 372 = 10752 bps = 0x00002A00)
	0x00	
	0x00	
	0x2A	
23	0x00	dwDataRate (clock / 372 = 10752 bps = 0x00002A00)
	0x00	
27	0x00	bNumDataRatesSupported (manual setting not allowed)
	0xFE	dwMaxIFSD (254 bytes)
	0x00	(Frame T=CL: 251 or 252 or 253 data bytes according to CID and
28	0x00	NAD presence. Don't care in ADPU mode and automatic IFSD
	0x00	exchange)
	0x00	
	0x00	du Cunak Drata cala (na aunak rangua a and)
32	0x00	dwSynchProtocols (no synchronous card)
	0x00	1
	0x00	
0.0	0x00	duMachaniaal (no anagist sharrastaristics)
36	0x00	dwMechanical (no special characteristics)
	0x00	1
40	0x72	dwFeatures = 00020672h 00000002h: Automatic parameter configuration based on ATR data
	0x06	<ul> <li>00000010h: Automatic ICC clock frequency change</li> <li>00000020h: Automatic baud rate according to parameters</li> <li>00000040h: Automatic parameters negotiation made by the CCID</li> </ul>



	0x02	(use warm or cold reset or PPS) 00000200h: NAD value other than 00 Accepted (T=1) 00000400h: Automatic IFSD exchange as first exchange (T=1)
	0x00	00020000h: Short APDU level exchange with CCID
	0x16	
44	0x01	dwMaxCCIDMessageLength = 278
77	0x00	Bulk-Out message max length
	0x00	
48	0x00	bClassGetResponse
49	0x00	bClassEnvelope
50	0x00	wLcdLayout (0 line, 0 character per line)
30	0x00	wecdeayout (o line, o Gharacter per line)
52	0x00	bPINSupport (PIN verification not supported)
53	0x01	bMaxCCIDBusySlots (1 slot can be busy at the time)

Table 37 – USB Contactless Smart Card Device Class Descriptor

## Contactless smart card interface endpoint descriptors

С	Contactless Smart Card Interface Endpoint Descriptor (endpoint 1 Bulk Out)	
Offset	Value	Field
0	0x07	bLength (7 bytes)
1	0x05	bDescriptorType (Endpoint)
2	0x01	bEndpointAddress (b7=0 OUT, b3-b0 = address 1)
3	0x02	bmAttributes (02h =Bulk endpoint)
4	0x40	wMaxPacketSize (64 bytes max)
4	0x00	WWWAXFACKELSIZE (04 Dytes max)
6	0x00	bInterval (For full speed : Ignored)

Table 38 – USB Contactless Smart Card Interface Endpoint Descriptor (Bulk Out)

(	Contactless Smart Card Interface Endpoint Descriptor (endpoint 2 Bulk In)	
Offset	Value	Field
0	0x07	bLength (7 bytes)
1	0x05	bDescriptorType (Endpoint)
2	0x82	bEndpointAddress (b7=1 IN, b3-b0 = address 2 )
3	0x02	bmAttributes (02h = Bulk endpoint)
4	0x40	MayDockatSize (64 bytes may)
4	0x00	wMaxPacketSize (64 bytes max)
6	0x00	bInterval (For full speed : Ignored)

Table 39 – USB Contactless Smart Card Interface Endpoint Descriptor (Bulk In)

Co	Contactless Smart Card Interface Endpoint Descriptor (endpoint 6 Interrupt In)	
Offset	Value	Field
0	0x07	bLength (7 bytes)
1	0x05	bDescriptorType (Endpoint)
2	0x86	bEndpointAddress (b7=1 IN, b3-b0 address 6)
3	0x03	bmAttributes (Interrupt endpoint)
4	80x0	wMaxPacketSize (8 bytes max)
4	0x00	wiviaxFacketSize (6 bytes max)
6	0x18	bInterval (Polling Interval = 24 ms)

Table 40 – USB Contactless Smart Card Interface Endpoint Descriptor (Interrupt In)



Contact smart card device class descriptor

		Contact Smart Card Device Class Descriptor
Offset	Value	Field
0	0x36	bLength (54)
1	0x21	bDescriptorType
2	0x10	hadCCID CCID (4.40 Class releases number)
2	0x01	bcdCCID CCID (1.10 Class release number)
4	0x00	bmaxSlotIndex (1 slots)
5	0x07	TPDU mode: bVoltageSupport = 0x07 (5V + 3V + 1,8V) APDU/EMV mode: bVoltageSupport = 0x01 (5V)
	0x03	
	0x00	du Dratacala (h0-1 augusta T-0 and h1-1 augusta T-1)
6	0x00	dwProtocols (b0=1 supports T=0 and b1=1 supports T=1)
	0x00	
	0xA0	
10	0x0F	dwDefaultClock (4 MHz = 4000 KHz:= 0x00000FA0)
10	0x00	dwbelaultclock (4 MHz = 4000 KHz.= 0x00000FA0)
	0x00	
	0xA0	
14	0x0F	dwMaximumClock (4 MHz = 4000 KHz:= 0x00000FA0)
'-	0x00	
	0x00	
18	0x00	bNumClockSupported (Manual setting not allowed)
	0x00	
19	0x2A	dwDataRate (clock / 372 = 10752 bps = 0x00002A00)
	0x00	
	0x00	
	0x20	
23	0xA1	dwMaxDataRate (clock*D/F : 500000 bps = 0x0007A120 for TA1 =
	0x07	97)
27	0x00 0x00	bNumDataRatesSupported (manual setting not allowed)
21	0xFE	brumbataratesoupported (manual setting not allowed)
	0x00	-
28	0x00	dwMaxIFSD (254 bytes)
	0x00	1
	0x00	
	0x00	1
32	0x00	dwSynchProtocols (no synchronous card)
	0x00	1
	0x00	
	0x00	du.Machanias (no annaist at annatariation)
36	0x00	dwMechanical (no special characteristics)
	0x00	1
40	0x30	TPDU/ISO mode
	3,00	11 5 5 110 0 1110 do



	0x02	dwFeatures = 00010230h 00000010h: Automatic ICC clock according to parameters
	0x01	0000020h: Automatic baud rate according to parameters 00000200h: NAD value other than 00 accepted 00010000h: TPDU level exchanges with CCID
	0x00	APDU/EMV mode  dwFeatures = 00020472h  00000002h: Automatic parameter configuration based on ATR data  00000010h: Automatic ICC clock frequency change  00000020h: Automatic baud rate according to parameters  00000040h: Automatic parameters negotiation made by the CCID  00000400h: Automatic IFSD exchange as first exchange (T=1)  00020000h: Short APDU level exchange with CCID
	0x0F	
44	0x01	dwMaxCCIDMessageLength (271 bytes)
	0x00	
	0x00	
48	0x00	bClassGetResponse
49	0x00	bClassEnvelope
F0	0x00	and add avout (O line, O character per line)
50	0x00	wLcdLayout (0 line, 0 character per line)
52	0x00	bPINSupport (PIN verification not supported)
53	0x01	bMaxCCIDBusySlots (1 slot can be busy at the time)

Table 41 – USB Contact Smart Card Device Class Descriptor

# Contact smart card interface endpoint descriptors

	Contact Smart Card Interface Endpoint Descriptor (endpoint 4 Bulk Out )	
Offset	Value	Field
0	0x07	bLength (7 bytes)
1	0x05	bDescriptorType (Endpoint)
2	0x04	bEndpointAddress (b7=0 OUT, b3-b0 = address 4)
3	0x02	bmAttributes (02h =Bulk endpoint)
4	0x40	wMaxPacketSize (64 bytes max)
4	0x00 WiviaxPacketSize (64 bytes max)	WINDER GOVERNMENT OF THE STREET THE STREET OF THE STREET THE STREE
6	0x00	bInterval (For full speed : Ignored)

## Table 42 – USB Contact Smart Card Interface Endpoint Descriptor (Bulk Out)

	Contact Smart Card Interface Endpoint Descriptor (endpoint 5 Bulk In)	
Offset	Value	Field
0	0x07	bLength (7 bytes)
1	0x05	bDescriptorType (Endpoint)
2	0x85	bEndpointAddress (b7=1 IN, b3-b0 = address 5 )
3	0x02	bmAttributes (02h = Bulk endpoint)
4	0x40	wMaxPacketSize (64 bytes max)
4	0x00	WIVIANT ACKELOIZE (04 DYLES IIIAN)
6	0x00	bInterval (For full speed : Ignored)

Table 43 – USB Contact Smart Card Interface Endpoint Descriptor (Bulk In)

Contact Smart Card Interface Endpoint Descriptor (endpoint 7 Interrupt IN)		
Offset	Value	Field
0	0x07	bLength (7 bytes)

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1	0x05	bDescriptorType (Endpoint)
2	0x87	bEndpointAddress (b7=1 IN, b3-b0 address 7)
3	0x03	bmAttributes (Interrupt endpoint)
4	80x0	wMayDookatCiza (9 bytaa may)
4	0x00	wMaxPacketSize (8 bytes max)
6	0x18	bInterval (Polling Interval =24 ms)

Table 44 – USB Contact Smart Card Interface Endpoint Descriptor (Interrupt In)

# **String Descriptors**

## LangID string descriptor

LangID String Descriptor		
Offset	Value	Field
0	0x04	bLength (12)
1	0x03	bDescriptorType (String)
2	0x09	wd analD[0] (L.C. English = 0400h)
	0x04	wLangID[0] (U.S. English = 0409h)

Table 45 – USB LangID String Descriptor

# Manufacturer string descriptor

String01 (index iManufacturer of device descriptor)		
Offset	Value	Field
0	0x10	bLength
1	0x03	bDescriptorType (String)
	'G,0	
2	'e',0	
	'm',0	
	'a',0	bString = "Gemalto"
	'l',0	
	't',0	
	'o',0	

Table 46 – USB Manufacturer String Descriptor

## **Product string descriptor**

String02 (index iProduct of device descriptor)		
Offset	Value	Field
0	0x3A	bLength (58 bytes for Prox DU or 54 bytes for Prox SU)
1	0x03	bDescriptorType (String)
2	0x50,0x00	bString =
	0x72,0x00	"Prox Dual USB PC Link Reader"
	0x6F,0x00,	Or "Draw CLLUCD DC Link Booder"
	0x78,0x00,	"Prox SU USB PC Link Reader"
	0x20,0x00,	

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0x44,0x00,	(hexadecimal value are shown for Prox Dual)
0x75,0x00,	
0x61,0x00,	
0x6C,0x00,	
0x20,0x00,	
0x55,0x00,	
0x53,0x00,	
0x42,0x00,	
0x20,0x00,	
0x50,0x00,	
0x43,0x00,	
0x20,0x00,	
0x4C,0x00,	
0x69,0x00,	
0x6E,0x00,	
0x6B,0x00,	
0x20,0x00,	
0x52,0x00,	
0x65,0x00,	
0x61,0x00,	
0x64,0x00,	
0x65,0x00,	
0x72,0x00	

Table 47 – USB Product String Descriptor

# Serial number string descriptor

String03 (index iSerialNumber of device descriptor)		
Offset	Value	Field
0	0x22	bLength (34 bytes)
1	0x03	bDescriptorType (String)
	SN7,0x00,	
	SN7,0x00,	
	SN6,0x00,	
	SN6,0x00,	
	SN5,0x00,	
	SN5,0x00,	
	SN4,0x00,	
2	SN4,0x00,	bString = "SN7 SN6 SN5 SN4 SN3 SN2 SN1 SN0"
	SN3,0x00,	
	SN3,0x00,	
	SN2,0x00,	
	SN2,0x00,	
	SN1,0x00,	
	SN1,0x00,	
	SN0,0x00,	
	SN0,0x00,	

Table 48 – USB Serial Number String Descriptor



# HID interface string descriptor

String04 (index iInterface of interface descriptor) (HID interface)		
Offset	Value	Field
0	0x1C	bLength (28 bytes)
1	0x03	bDescriptorType (String)
	'H',0x00,	
	'l',0x00,	
	'D',0x00,	
	' ',0x00,	
	'l',0x00,	
	'n',0x00,	
2	't',0x00,	bString = "HID Interface"
	'e',0x00,	
	'r',0x00,	
	'f',0x00,	
	'a',0x00,	
	'c',0x00,	
	'e',0x00,	

Table 49 – USB HID Interface String Descriptor

# Contactless smart card interface string descriptor

String	05 (index iInter	face of interface descriptor) (Contactless smart card interface)
Offset	Value	Field
0	0x3C	bLength (60 bytes)
1	0x03	bDescriptorType (String)
2	'P',0x00	bString =
	'r',0x00	"Prox-DU Contactless interface"
	'o',0x00	Or "Draw CLI Contactions interfers"
	'x',0x00	"Prox-SU Contactless interface"
	'-',0x00	
	'D',0x00	
	'U',0x00	
	'',0x00	
	'C',0x00,	
	'o',,0x00,	
	'n',0x00,	
	't',0x00,	
	'a',0x00,	
	'c',0x00,	
	't',0x00,	
	'l',0x00,	
	'e',0x00,	
	's',0x00,	
	's',0x00,	
	'',0x00,	
	'i',0x00,	
	'n',0x00,	

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't',0x00, 'e',0x00,
'e' 0x00
Ο, σλου,
'r',0x00,
'f',0x00,
'a',0x00,
'c',0x00,
'e',0x00,

Table 50 – USB Contactless Smart Card Interface String Descriptor

# Contact smart card interface string descriptor

	String06 (index	iInterface of interface descriptor) (Contact card interface)				
Offset	Value	Field				
0	0x34	bLength (52 bytes)				
1	0x03	bDescriptorType (String)				
	'P',0x00					
	'r',0x00					
	'o',0x00					
	'x',0x00					
	'-',0x00					
	'D',0x00,					
	'U',0x00,					
	' ',0x00,					
	'C',0x00,					
	'o',0x00,					
	'n',0x00,	I. Obstance				
	't',0x00,	bString = "Prox-DU Contact interface"				
2	'a',0x00,	or				
	'c',0x00,	"Prox-SU Contact interface"				
	't',0x00,					
	' ',0x00,					
	'i',0x00,					
	'n',0x00,					
	't',0x00,					
	'e',0x00,					
	'r',0x00,					
	'f',0x00,					
	'a',0x00,					
	'c',0x00,					
	'e',0x00,					

Table 51 – USB Contact Smart Card Interface String Descriptor



# **Boot-Loader**

The boot-loader is used to update the device's firmware and to start the device if the download is successful.

The boot-loader is embedded into the device and is not normally running.

It will be launched using the dedicated HID command "Start Download".

Refer to the "General proprietary command" paragraph for more information.

# Hardware requirement

If it is not possible to download a new firmware into the Prox–DU and Prox–SU device because of a failure, it is possible to force the device entering the boot-loader by pressing a push button (S1) located on the main printed circuit board of the device.

It should be used only in case of rescue because it is needed to open the casing of the device to have access to the push button.

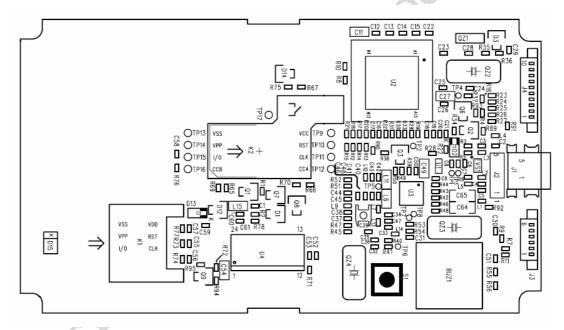


Figure 31 - Push button PCB location (S1)

# **Boot-loader start up operations**

When the USB cable is plugged into the host or when the device is restarted, the boot-loader starts to run. Two cases are possible depending of the push button state:

1 - If the push button is not activated (normal start up),

The boot-loader checks the firmware checksum

- If the checksum is correct, the device is started.
- If the checksum is not correct, the device is not started. (If no firmware is loaded the checksum check will not be correct).
- 2 If the push button is activated (rescue start up)

The device is not started and the boot-loader continues to run.

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The boot-loader is enumerated by the USB bus and then the download operation can be executed.

At the end of download operation the device firmware is checked (signature):

- If the signature is correct, the boot-loader unplugs itself from the USB bus and the device is restarted. At this time, the push button is normally not activated and the new firmware can start to run like the case 1.
- If the signature is not correct, the device firmware is not started. The download operation can be performed again.

In normal operation, when the device firmware is running, the boot-loader can be started using a proprietary HID command.

This command saves information to tell to the boot-loader that it must not start the device firmware, even if the push button is not activated. The device firmware unplugs itself from the USB bus and the device is restarted. Then following start up operations are executed like the case 2.

The boot-loader is declared as a HID device with one interface. The two interfaces (smart card and contactless) cannot be used while the boot-loader is running.

Note: The boot-loader is write protected and cannot be updated.

# **Boot-loader download operations**

The download operation requires 3 commands:

- A command to start the download
- A command to download the firmware file
- A command to end the process and restart the device

Note: The command to start the download is not used by the boot-loader itself but by the device firmware.

Additional commands are needed to control the download operations:

- Boot-loader version request
- Reset reader

All commands and responses are sent using the HID interface.

#### Start download command

This command is sent by the host to the reader when the device is operating normally.

Refer to the "General proprietary command" paragraph for more information.

#### Download firmware file command

This command is used to download the file that contains the reader firmware data.

The file is downloaded in successive packets.

The first packet contains the information needs to program the firmware into the microcontroller and to verify it at the end of downloading operation

The command format is the following:

Offset	Field	Size	Value	Description
0	bCommandFamily	1	53h	Bootloader command
1	bCommandType	1	F9h	Download Management
2	bCommand	1	01h	Load firmware file

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3, 4	wLength	2	XXXX	Size of the Data field
5	dwPacketId	4		Packet identifier
9	abDataPacket	256		Firmware file packet (TDES
				ciphered)
				(256 bytes)

#### The response format is the following:

Offset	Field	Size	Value	Description
0,1	abStatus	2	XX XX	Command Status execution
2, 3	wLength	2	0004h	Size of the Data field
4	dwPacketId	4		Packet identifier

#### Possible Errors:

The returned ulPacketId should have the same value as the received packet.

If the value is different, the host must re-send the ulPacketId (the one which is requested in the response command).

Special case: for the first download packet, the response ulPacketId should be 0 if it succeed, but will be 0xFFFFFFF (-1 in decimal notation) if it failed.

#### **End download command**

This command is the last command of the download process.

While this command is received, the firmware integrity is check using its signature.

If the signature is verified, the ApplicationValid indicator is set and the reader can be restarted.

If the signature is not verified, the reader is not restarted.

The command format is the following:

Offset	Field Size		Value	Description
0	bCommandFamily 1		53h	Bootloader command
1	bCommandType 1		F9h	Download Management
2	bCommand	1	02h	End download
3, 4	wLength	2	0000h	Size of the Data field

#### The response format is the following:

Offset	Field	Size	Value	Description
0,1	abStatus	2	XXXX	Command Status execution
2, 3	wLength	2	0000h	Size of the Data field

#### Possible Errors:

If the download succeeds and the signature computed by the bootloader is the same as the one computed by the Host, abStatus will be set to 0x0000.

Other values (TBD) of abStatus indicate a bad image signature, a bad firmware CRC control or no firmware is present.

Note: If the host sent a "Start download" command followed by an "End download" command (without any download packet), the bootloader should compute the application CRC and compare it to the one fused in EEPROM.

If the two CRC are the same, the ApplicationValid byte is set, the bootloader answers with abStatus = 0x0000 and reboots.

If the two CRC are different, the bootloader answers with abStatus = FB9Bh and stay in the boot mode.

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## **Boot-loader version request command**

This command enables the user to determine the Boot-loader version.

It is the same than firmware version request for the reader. Then the response can be checked to confirm if the boot-loader is running or the device firmware is running.

#### Reset reader command

This command is used to reset the Prox-DU or Prox-SU device.

The command format is the following:

Offset	Field	Size	Value	Description
0	bCommandFamily	1	52h	Reader command
1	bCommandType	1	F8h	Management
2	bCommand	1	05h	03h = Read current interface switch state 04h = Switch interface
3, 4	wLength	2	0000h	Size of the Data field

The response format is the following:

Offset	Field	Size	Value	Description	
No answer for this command					

#### **Boot-loader error codes**

In the following table:

- The MSB byte correspond to the first byte reported in the abStatus field of the HID response
- The LSB byte correspond to the second byte reported in the abStatus field of the HID response

Error label	Value	Meaning	
ERR_OK	0000h	Execution OK	
Wrong command or wrong da	ita paramet	ers (command rejected)	
NOT_READY_TO_UPDATE	FB40h	Wrong command bytes	
BAD_FIRMWARE_SIGNATURE_ERROR	FB41h	Wrong data parameters	
CRC_ERROR	FB9Bh	Wrong checksum	
Error during E	EPROM op	erations	
ERR_EEPROM_READ	FFA9h	Read EEPROM error	
ERR_EEPROM_WRITE	FF9Ah	Write EEPROM error	
Other codes FFxxh not listed are RFU			

Table 52 - Boot-loader HID error codes

# **Typical Download Operations**

The following steps give the list of the commands to be used for a standard download operation:

- The "Start download" command is used to initiate the download operation
- The "Reset reader" command is used to activate the boot-loader.
- The "Download firmware file" command is used several times to send the successive firmware binary packets to the device
- The "End download" command is used to complete the download operation
- The "Reset reader" command is used to activate the new firmware.

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## **Downloaded File Format**

The beginning of the file must contain the information needed to program the firmware and to verify it.

Firmware size (4 bytes): This is the total size of the data firmware that will be downloaded

SHA firmware signature (digest 20 bytes): This is the signature of the firmware to control its integrity after deciphering at the end of the download operation.

The firmware data are following the file header.

The whole file is ciphered using a 3DES key.

The keys to decipher the downloaded file are resident into the boot-loader.

# **Boot-loader USB descriptors**

## **Device Descriptor**

This is the same descriptor than the descriptor for the reader firmware, PID = 0x5502 except.

## **Configuration Descriptor**

The device has only one interface for proprietary commands.

The device is Bus Powered (no external power).

The device doesn't support remote wake.

The maximum power current is 200 mA.

Configuration Descriptor					
Offset	Value	Field			
0	0x09	bLength (9 bytes)			
1	0x02	bDescriptorType (configuration)			
2	0x22	wTotalLength of the Configuration Descriptor (configuration + interface			
	0x00	endpoint class specific + 1 endpoint) 9 + 9 + 9 + 7 = 34			
4	0x01	bNumInterfaces (number of interface = 1)			
5	0x01	bConfigurationValue			
6	0x00	iConfiguration (ignored)			
7	0x80	bmAttributes (b6 = 0 Bus powered, b0 = 1 Remote Wake Up Not supported)			
8	0x64	MaxPower (100 x 2 = 300 mA)			

Table 53 - USB Boot-loader Configuration Descriptor

# Interface descriptor

The interface for the proprietary command is HID.

This is the same descriptor than the HID descriptor for the reader firmware.

Refer to the "USB Descriptors" paragraph for more information.

# **HID class descriptor**

This is the same descriptor than the HID class descriptor for the reader firmware.

Refer to the "USB Descriptors" paragraph for more information.

# **HID** endpoint descriptor

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This is the same descriptor than the HID Endpoint descriptor for the reader firmware.

Refer to the "USB Descriptors" paragraph for more information.

## **HID** report descriptor

This is the same descriptor than the HID report descriptor for the reader firmware.

Refer to the "USB Descriptors" paragraph for more information.

## String descriptors

## LangID string descriptor

This is the same descriptor than LangID string descriptor for the reader firmware.

Refer to the "USB Descriptors" paragraph for more information.

## Manufacturer string descriptor

This is the same descriptor than Manufacturer string descriptor for the reader firmware.

Refer to the "USB Descriptors" paragraph for more information.

## **Product string descriptor**

This is the same descriptor than the product string descriptor for the reader firmware.

Refer to the "USB Descriptors" paragraph for more information.

### Serial number string descriptor

This is the same descriptor than the serial number string descriptor for the reader firmware.

Refer to the "USB Descriptors" paragraph for more information.

## **HID** interface string descriptor

	String04	(index iInterface of interface descriptor) (HID interface)
Offset	Value	Field
0	0x2E	bLength (46 bytes)
1	0x03	bDescriptorType (String)
2	'H',0x00,	bString = "HID Download Interface"
	'l',0x00,	
	'D',0x00,	
	'',0x00,	
	'D',0x00,	
	'o',0x00,	
	'w',0x00,	
	'n',0x00,	
	'l',0x00,	
	'o',0x00,	
	'a',0x00,	
	'd',0x00,	
	'',0x00,	
	'l',0x00,	
	'n',0x00,	
	't',0x00,	



'e',0x00,
'r',0x00,
'f',0x00,
'a',0x00,
'c',0x00,
'e',0x00,

Table 54 – USB Boot-loader Interface String Descriptor

## LEDs states for the boot-loader

4 LEDs states are possible for the boot-loader according to the following table:

Yellow LED	Blue LED	State
Off	Off	The reader is not in operation (not powered, in suspend mode or failure)
Blink 1	Blink 1	The boot-loader is ready
Blink 2	Blink 2	The download operation is in progress
Blink 3	Blink 3	The download operation failed
Blink 4	Blink 4	The firmware check operation failed

Table 55: LEDs states for the Boot-loader LEDs

Blink 1: 250 ms on every 500 ms. (When the yellow LED is on, the blue LED is off and viceversa)

Blink 2: 100 ms on every 200 ms. (When the yellow LED is on, the blue LED is off and viceversa)

Blink 3: 50 ms on every 100 ms. (When the yellow LED is on, the blue LED is on).

Blink 4: the yellow LED is on and the blue LED is off for 400 ms every 500 ms.

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# **Downloading a firmware**

The Gemalto downloader tool "**Gemalto\_Download\_Prox.exe**" must be used to download a new firmware into the Prox–DU or Prox–SU device.

The latest firmware binary file to download should be used (.bin extension file).

The two items are available in the following web link <a href="http://support.gemalto.com">http://support.gemalto.com</a>.

The Gemalto downloader tool performs the operations listed in the "Typical download operations" with additional commands to display the firmware and the boot-loader string version.

Note: Only **one** Prox–DU or Prox–SU device should be plugged to your computer.

This tool should be used with Windows based operating systems only.

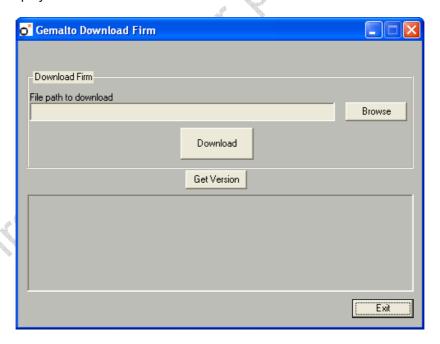
The HID library is not needed because the HID commands are integrated into the tool.

# **Download tool operations**

First copy the "Gemalto\_Download\_Prox.exe" file in a new directory of your computer and copy the last update of the firmware binary file in the same directory (.bin extension file).

The next steps should be performed to download the new firmware into the Prox–DU or Prox–SU device:

 Run the "Gemalto\_Download\_Prox.exe" file. The following window will be displayed:

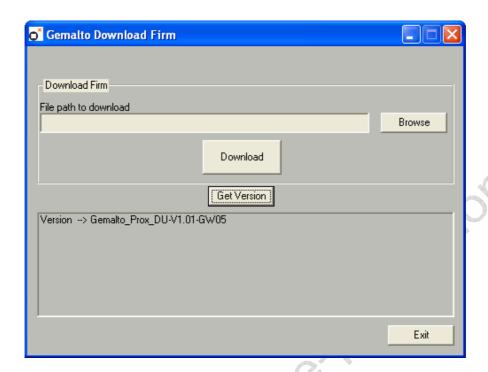


Click the "Get Version" button to retrieve the current string version of the device.
 This operation will check the communication with the device. The next figure displays the string "Gemato\_Prox\_DU-V1.01-GW05":

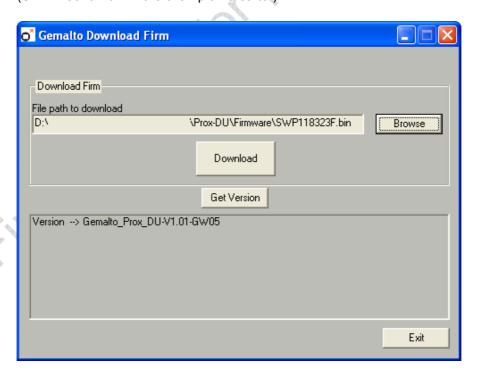
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 Click the "Browse" button to indicate the directory where the binary file was previously stored and choose the firmware binary file to download (SWF118323F.bin in the example hereafter):

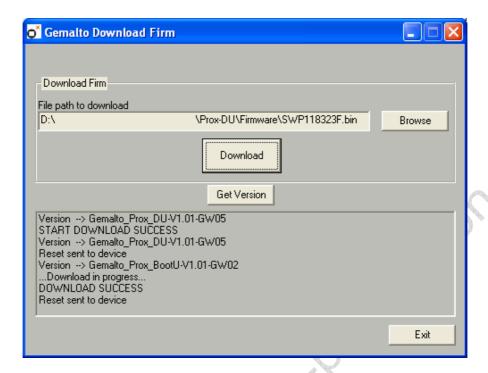


• Click the "**Download**" button. The download process is running until its termination. The next figure will be displayed:

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The "Start Download Success" message is displayed

The current firmware string version of the device is displayed.

Then a reset of the device is performed to start the boot-loader.

The current boot-loader string version is displayed.

The "...Download in progress..." message is displayed during the download operation.

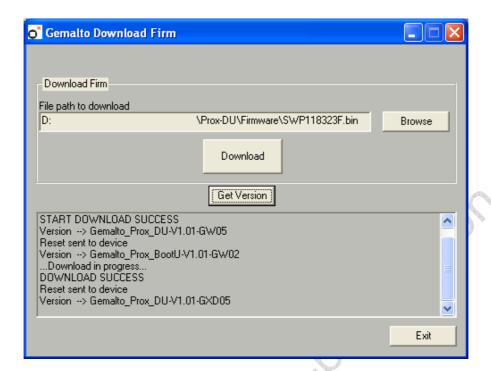
The "Download Success" message is displayed at the end of the

Then a reset of the device is performed to start the new firmware.

• Click the "**Get Version**" button to check the new string version of the device. The next figure displays the new string "Gemato\_Prox\_DU-V1.01-GXD05":

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The download operation is now completed.

15 sec

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# MIFARE® Cards Mapping

# MIFARE® 1K Memory Mapping

This is a 8-Kbit (1 Kbyte) MIFARE® memory contactless smart card arranged as 16 four-block sectors as shown in the following table:

									Byt	es								
Sector	Block	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	Description
	0																	Manufacturer Block
0	1														4			Data
	2																	Data
	3			Ke	у А			A	cces	s Bi	ts			Ke	у В			Sector Trailer 0
	4																	Data
1	5										*			Ψ				Data
'	6									¥								Data
	7			Ke	у А			A	cces	s Bi	ts			Ke	у В			Sector Trailer 1
	8							K.										Data
2	9																	Data
2	10				0	A	#											Data
	11			Ke	у А			A	cces	s Bi	ts			Ke	у В			Sector Trailer 2
		T	C	# _	ı	_	_	_	_	ı	1	_	_	_	ı	ı		
	60	)																Data
15	61																	Data
15	62																	Data
	63			Ke	у А			Access Bits Key B							Sector Trailer 15			
	Table 56 – Memory Sectors of MIFARE® 1K																	

Each contactless smart card consists of a 16-byte memory block assembled in sectors.

The first block of the first sector contains manufacturing information.

The last block of each sector is the sector trailer containing the keys and the access conditions of the blocks.

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# MIFARE® 4K Memory Mapping

This is a 32-Kbit (4 Kbytes) MIFARE $^{\$}$  memory contactless smart card arranged as 32 four-block sectors and 8 sixteen-block sectors as shown in the following table:

									Byt	es								
Sector	Block	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	Description
	0																	Manufacturer Block
0	1																	Data
	2																	Data
	3			Ke	у А			A	cces	s Bi	ts			Ke	у В			Sector Trailer 0
	4															5		Data
1	5													£				Data
'	6																	Data
	7			Ke	у А			A	cces	s Bi	ts			Ke	у В			Sector Trailer 1
	8								4	1		•						Data
2	9							e C	T	4	P							Data
2	10																	Data
	11			Ke	у А			A	cces	s Bi	ts			Ke	у В			Sector Trailer 2
		_	_	_	9	-	_	_	_	_	_	_	_	_	_	_	_	
	124		1	K														Data
31	125	1	C	1														Data
01	126																	Data
	127			Ke	у А			A	cces	s Bi	ts			Ke	у В			Sector Trailer 31
32	128																	Data
	129																	Data
	130																	Data
	131																	Data
	132																	Data
	133																	Data
	134																	Data

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	135																	Data
	136																	Data
	137																	Data
	138																	Data
	139																	Data
	140																	Data
	141																	Data
	142																4	Data
	143			Ke	у А			A	cces	s Bi	ts			Ke	у В			Sector Trailer 32
		-	_	_	_	_	_	_	_	_	_	_	_	_	-(			
	240														0			Data
	241												1					Data
	242																	Data
	243									<								Data
	244							4	1		*							Data
	245																	Data
	246																	Data
39	247				0		)											Data
39	248		A	C														Data
	249	P. Contraction	8															Data
	250		4															Data
•	251																	Data
	252																	Data
	253																	Data
	254																	Data
	255	255 Key A						A	cces	ts	Key B					Sector Trailer 39		
					Tab	le 5	7 – I	Men	nory	Sec	tors	of I	MIF/	١RE	®4K	<del>_</del>	_	

Each contactless smart card consists of a 16-byte memory block assembled in sectors.

The first block of the first sector contains manufacturing information.

The last block of each sector is the sector trailer containing the keys and the access

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conditions of the blocks.

# MIFARE® UL Memory Mapping

The MIFARE® Ultralight chip is a 512-bit EEPROM memory card.

The MIFARE® UL memory is organized in 16 pages with 4 bytes each as depicted in the following table:

Byte Number	0	1	2	3	Page	Block	
-------------	---	---	---	---	------	-------	--

Serial Number	SN0	SN1	SN2	BCC0	0	
Serial Number	SN3	SN4	SN5	SN6	1	
Internal/Lock	BCC1	Internal	Lock0	Lock1	2	
OTP	OTP0	OTP1	OTP2	OTP3	3	
Data Read-Write	Data0	Data1	Data2	Data3	4	
Data Read-Write	Data4	Data5	Data6	Data7	5	1
Data Read-Write	Data8	Data9	Data10	Data11	6	1
Data Read-Write	Data12	Data13	Data14	Data15	7	
Data Read-Write	Data16	Data17	Data18	Data19	8	
Data Read-Write	Data20	Data21	Data22	Data23	9	2
Data Read-Write	Data24	Data25	Data26	Data27	10	2
Data Read-Write	Data28	Data29	Data30	Data31	11	
Data Read-Write	Data32	Data33	Data34	Data35	12	
Data Read-Write	Data36	Data37	Data38	Data39	13	3
Data Read-Write	Data40	Data41	Data42	Data43	14	J
Data Read-Write	Data44	Data45	Data46	Data47	15	

Bold frame indicates user area.

Table 58 – Memory mapping of MIFARE® UL

## **Serial Number Area**

SN0-SN7 is the 7 bytes serial number according to ISO14443-3.

BCC0 and BCC1 are the check bytes according to ISO14443-3.

Internal byte is reserved for internal data.

These 10 bytes are write-protected after having been programmed by the chip manufacturer after production.

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## **Lock Bytes Area**

Lock0 and Lock1 represent the field-programmable read-only locking mechanism. Each Page x from 3 (OTP) to 15 may be locked individually to prevent further write access by setting the corresponding locking bit Lx to 1. After locking the page is read-only memory.

The 3 least significant bits of lock byte 0 are the block-locking bits. Bit 2 handles pages 15 to 10, bit 1 pages 9 to 4 and bit 0 page 3 (OTP). Once the blocking-locking bits are set the locking configuration for the corresponding memory area is frozen - for example if BL15-10 is set to "1", L15 to L10 (bit 7 to bit 2 of lock byte 2) can no longer be changed.

Lock0 byte

Lock1 byte

MSB							LSB
L7	L6	L5	L4	L OTP	BL 15- 10	BL 9- 4	BL OTP

MSB							LSB
L15	L14	L13	L12	L11	L10	L9	L8

Lx locks Page x to read-only

BLx blocks further locking for the memory area x

The locking and block-locking bits are set via standard write command to Page 2.

Bytes 2 and 3 of the write command and the actual contents of the lock bytes are bite-wise "OR-ed" and the result then becomes the new contents of the lock bytes.

This process is irreversible. If a bit is set to "1", it cannot be changed back to "0" again.

**Note:** The content of bytes 0 and 1 of Page 2 is not affected by the corresponding data bytes of the write command.

**Warning:** To activate the new locking configuration after a write to the lock bit area, a new smart card selection has to be carried out.

# **OTP Bytes Area**

Page 3 is the OTP page. It is pre-set to all "0" (zeros) after production. These bytes may be bit-wise modified by a write command.

The bytes of the write command of the current contents of the OTP bytes are bit-wise "ORed" and the result becomes the new contents of the OTP bytes.

This process is irreversible. If a bit is set to "1", it cannot be changed back to "0" again.

Note: This memory area may be used as a 32 ticks one-time counter.

# **Data Bytes Area**

Pages 4 to 15 constitute the user read/write area. After production the data pages are initialized to all "0" (zeroes).

# MIFARE® UL Read/Write Operation

The MIFARE® Ultralight chip does not embed the MIFARE® Classic security.

So no authentication operation is required before any read/write operation.

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## **Read Operation**

4 pages (16 bytes) are read at the same time.

To perform a read operation, use the standard PC/SC "Read Binary" command:

ABLL	16 bytes read
00h	Page 0 + Page 1 + Page 2 + Page 3
01h	Page 1 + Page 2 + Page 3 + Page 4
02h	Page 2 + Page 3 + Page 4 + Page 5
03h	Page 3 + Page 4 + Page 5 + Page 6
04h	Page 4 + Page 5 + Page 6 + Page 7
05h	Page 5 + Page 6 + Page 7 + Page 8
06h	Page 6 + Page 7 + Page 8 + Page 9
07h	Page 7 + Page 8 + Page 9 + Page 10
08h	Page 8 + Page 9 + Page 10 + Page 11
09h	Page 9 + Page 10 + Page 11 + Page 12
0Ah	Page 10 + Page 11 + Page 12 + Page 13
0Bh	Page 11 + Page 12 + Page 13 + Page 14
0Ch	Page 12 + Page 13 + Page 14 + Page 15
0Dh	Page 13 + Page 14 + Page 15 + Page 0
0Eh	Page 14 + Page 15 + Page 0 + Page 1
0Fh	Page 15 + Page 0 + Page 1 + Page 2
Other	An error 94h 0Fh will be returned

As an example the response will be:

For ABLL = 00h:

SN0 SN1 SN2 BCC0 SN3 SN4 SN5 SN6 BCC1 Internal Lock0 Lock1 OTP0 OTP1 OTP2 OTP3 90h 00h

For ABLL = 04h:

Data0 Data1 Data2 Data3 Data4 Data5 Data6 Data7 Data8 Data9 Data10 Data11 Data12 Data13 Data14 Data15 90h 00h

• For ABLL = 08h:

Data16 Data17 Data18 Data19 Data20 Data21 Data22 Data23 Data24 Data25 Data26 Data27 Data28 Data29 Data30 Data31 90h 00h

• For ABLL = 0Ch:

Data32 Data33 Data34 Data35 Data36 Data37 Data38 Data39 Data40 Data41

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Data42 Data43 Data44 Data45 Data46 Data47 90h 00h

## **Write Operation**

Only one page (4 bytes) will be written at the same time.

To perform a write operation, use the standard PC/SC "Update Binary" command.

As only one page will be written only the 4 first bytes of the Data field will be written into the MIFARE® Ultralight chip. The other bytes will be ignored. It is recommended to set the remaining bytes to all "0" (zeroes). Production

ABLL	4 bytes written
00h	Page 0
01h	Page 1
02h	Page 2
03h	Page 3
04h	Page 4
05h	Page 5
06h	Page 6
07h	Page 7
08h	Page 8
09h	Page 9
0Ah	Page 10
0Bh	Page 11
0Ch	Page 12
0Dh	Page 13
0Eh	Page 14
0Fh	Page 15

As an example the Write command will be:

To write the 4 bytes "Data0-Data1-Data2-Data3" into Page 4 enter the following data field:

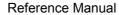
To write the 4 bytes "Data44-Data45-Data46-Data47" into Page 15 enter the following data field:

00h

#### Note:

Page 0 and Page 1 have read-only bytes that are write-protected.

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Page 2 and Page 3 have specific features (Lock and OTP).

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# **MIFARE®** Memory Organization

## **Sector Trailer**

The last block of every sector is the sector trailer. It contains the individual secret authentication Key A, optional Key B and the access condition bits for the blocks of the particular sector.

Sector Trailer	Byte	bit 8 MSB	bit 7	bit 6	bit 5	bit 4	bit 3	bit 2	bit 1 LSB		
	0	0									
	1										
Cooret Kov	2		Authentication Key A								
Secret Key	3			Auti	lentical	ion Key	/ A				
	4										
	5										
	6	C2 <sub>3</sub>	—— C2 <sub>2</sub>	C2 <sub>1</sub>	—— C2 <sub>0</sub>	C1 <sub>3</sub>	C1 <sub>2</sub>	—— C1₁	—— C1 <sub>0</sub>		
Access Bits	7	C1 <sub>3</sub>	C1 <sub>2</sub>	C1 <sub>1</sub>	C1 <sub>0</sub>	C3 <sub>3</sub>	—— C3 <sub>2</sub>	C3 <sub>1</sub>	—— C3 <sub>0</sub>		
	8	C3 <sub>3</sub>	C3 <sub>2</sub>	C3 <sub>1</sub>	C3 <sub>0</sub>	C2 <sub>3</sub>	C2 <sub>2</sub>	C2 <sub>1</sub>	C2 <sub>0</sub>		
Data	9		4	1	<b>)</b>						
	10										
	11	_0									
Secret Key	12	Authentication Key B									
Occide Ney	13										
0	14										
15											
CXy: Access bit x for block y											
CXy: Complement of CXy											

## **Authentication Keys**

Each sector contains a six-byte authentication Key A and a six-byte optional Key B. All sectors are assigned to the different applications determined by different system providers.

The mutual authentication procedure is performed between the reader/writer and the contactless card and is driven by the reader/writer. Access to the data stored in a sector is only possible after a successful authentication.

The secret authentication keys are always read as logical "0". In applications using only one authentication key, Key A, user can set the access bits where the memory space of the optional authentication Key B can be used for data storage.

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In this case when the authentication key, Key B can no longer be used for authentication, the card will not allow memory access using Key B.

#### **Access Bits**

The access conditions for the specified operations are defined for each block. The sector trailer and the data blocks are controlled independently.

In sectors consisting of four blocks, the access conditions for each individual block are programmable.

In sectors consisting of sixteen blocks, the 15 data blocks are arranged into three groups of five blocks, with the access conditions are defined independently for each group.

Refer to "Access to Data Blocks" Table and "Access to Sector Trailer" Table for the values of these bytes.

The access bits determine the access rights to the memory using the authentication keys A and B. The access conditions may be altered, provided that the relevant key is known and the actual access condition allows this operation.

The following table describes only access bits in the non-inverted mode (although they can be stored in both non-inverted and inverted mode):

Access Bits			Valid Commands	Block	Description		
C1 <sub>0</sub>	C2 <sub>0</sub>	C3 <sub>0</sub>	Read, Write, Increment, Decrement, Transfer, Restore	0	Data Block		
C1 <sub>1</sub>			Read, Write, Increment, Decrement, Transfer, Restore	1	Data Block		
C1 <sub>2</sub>			Read, Write, Increment, Decrement, Transfer, Restore	2	Data Block		
C1 <sub>3</sub> C2 <sub>3</sub> C3 <sub>3</sub>			Read, Write		Sector Trailer		
Table 19 - Access Bits and the Valid Commands							

The internal logic of the MIFARE® circuit ensures that the commands are executed only after an authentication using either Key A or Key B has been successfully performed.

Note: the "Increment", "Decrement", "Transfer", "Restore" commands are not available using the PC/SC V2 MIFARE® commands.

#### **Data Block Access Conditions**

The access bits for the data blocks are specified as Never, Key A or Key B.

The setting of the relevant access bits defines the application and the resulting applicable commands. Key A | B indicates that access is possible only after an authentication using Key A or Key B of this sector.

The access condition for every block is dependant on the sector number as explained in the following table:

Sector	Block	Description
N (0 – 31)	0	C3 <sub>0</sub> - C2 <sub>0</sub> - C1 <sub>0</sub>
(0 – 31)	1	C3 <sub>1</sub> - C2 <sub>1</sub> - C1 <sub>1</sub>

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	2	C3 <sub>2</sub> - C2 <sub>2</sub> - C1 <sub>2</sub>					
	3	C3 <sub>3</sub> - C2 <sub>3</sub> - C1 <sub>3</sub>					
	0						
	1						
	2	C3 <sub>0</sub> - C2 <sub>0</sub> - C1 <sub>0</sub>					
	3						
	4						
	5						
	6						
N	7	C3 <sub>1</sub> - C2 <sub>1</sub> - C1 <sub>1</sub>					
N (32 – 39)	8						
	9						
	10	40					
	11						
	12	C3 <sub>2</sub> - C2 <sub>2</sub> - C1 <sub>2</sub>					
	13	,					
	14						
	15	C3 <sub>3</sub> - C2 <sub>3</sub> - C1 <sub>3</sub>					
Table 20 - Access Condition for Data Blocks							

The MIFARE® system regards authentication Key B as the primary key for access control to the data memory. Operations which are performed with authentication Key A can also be done with authentication Key B. But, only some sensitive operations can be performed with Key B.

The previous Table "Access Bits and the Valid Commands" shows the types of access conditions associated with their bit values and the access granted by authentication with Key A and Key B.

Access Bits			Data	Access (Block or Sup b = 0			
С1 <sub>ь</sub>	C1 <sub>b</sub> C2 <sub>b</sub> C3 <sub>b</sub>		Read	Write	Increment	Decremen t/ Transfer/ Restore	Comments
0	0	0	Key A   B <sup>1</sup>	Key A   B <sup>1</sup>	Key A   B <sup>1</sup>	Key A   B <sup>1</sup>	A or B All function memory block
0	0	1	Key A   B <sup>1</sup>	Never	Never	Key A   B <sup>1</sup>	A or B Read /Subtract Value block
0	0 1 0 Key		Key A   B <sup>1</sup>	Never	Never	Never	A or B Read only memory block

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0	1	1	Key B <sup>1</sup>	Key B <sup>1</sup>	Never	Never	B Read /Write memory block	
1	0	0	Key A   B <sup>1</sup>	Key B <sup>1</sup>	Never	Never	A or B Read and B Write memory block	
1	0	1	Key B <sup>1</sup>	Never Never		Never	B Read only memory Block	
1	1	0	Key A   B <sup>1</sup>	Key B <sup>1</sup>	Key B <sup>1</sup>	Key A   B <sup>1</sup>	A Read/Subtract B Write/Add Value block	
1	1	1 1 Never		Never	Never	Never	Locked block, Access never allowed	

Transport Configuration: When the card is delivered, the access conditions for the sector trailer and the authentication Keys A and B are already containing a particular transport configuration.

#### Table 59 - Access to Data Blocks

Note: the "Increment", "Decrement", "Transfer", "Restore" commands are not available using the PC/SC V2 MIFARE® commands.

The following describes the functions of the blocks in previous Table "Access Condition for Data Blocks":

Read/Write Block	The operation read and write are allowed,				
Value Block	Allows the additional value operations such as Increment, Decrement, Transfer and Restore.				
	In the case ('001') only Read and Decrement are possible for a non-rechargeable card. In the other case ('110') recharging is possible using Key B.				
Manufacturer Block	The read-only condition is not affected by the setting of the access bits.				
Key Management	In transport configuration, the use of Key A for authentication is mandatory.				

### **Sector Trailer Access Conditions**

The access bits for the sector trailer shown in the following table determine the access condition to either of the authentication keys or the access bits themselves to be Never, Key B, or Key A | B.

Key A | B indicates the access for this sector is only possible after an authentication using either Key A or Key B.

Access Bi	its		Access Condition		Comments	
		Authentication	Access Bits	Authentication		

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<sup>&</sup>lt;sup>1</sup> When Key B can be read in the corresponding Sector trailer, it cannot be used for authentication. If the reader/writer tries to authenticate any block of a sector with Key B using the shaded access conditions, the card will reject subsequent memory access after authentication.



			Ke	у А			Ke	у В		
C1 <sub>b</sub>	C2 <sub>b</sub>	C3	Read	Write	Read	Write	Read	Write		
0	0	0	Never	Key A	Key A	Never	Key A	Key A	Key B may be read	
0	0	1	Never	Key A	Key A	Key A	Key A	Key A	Key B may be read. (Transport configuration)	
0	1	0	Never	Never	Key A	Never	Key A	Never	Key B may be read	
0	1	1	Never	Key B	Key A   B	Key B	Never	Key B		
1	0	0	Never	Key B	Key A   B	Never	Never	Key B		
1	0	1	Never	Never	Key A   B	Key B	Never	Never		
1	1	0	Never	Never	Key A   B	Never	Never	Never		
1	1	1	Never	Never	Key A   B	Never	Never	Never		
The s	The shaded areas are access conditions where Key B is readable and may be used for data.									

The shaded areas are access conditions where Key B is readable and may be used for data.

Table 60 - Access to Sector Trailer

The access conditions for the sector trailer and Key A are predefined as transport configuration upon card delivery.

As Key B is read in transport configuration, new cards are authenticated with Key A.

#### Note:

The access bits can also be blocked by the user to prohibit any further changes to the access conditions.

As the access bits can be altered by the user, special care should be taken during personalization phase.

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# For More Information

# **Standards and Specifications**

- ISO/IEC 14443-1 Identification cards Contactless ICC- Proximity cards Part 1: Physical characteristics
- ISO/IEC 14443-2 Identification cards Contactless ICC- Proximity cards Part 2: Radio frequency power and signal interface
- ISO/IEC 14443-2 AMD1 Identification cards Contactless ICC- Proximity cards Part
   Radio frequency power and signal interface Amendment 1: Bit rates of fc/64, fc/32 and fc/16
- ISO/IEC 14443-3 Identification cards Contactless ICC- Proximity cards Part 3: Initialization and anti collision
- ISO/IEC 14443-3 AMD1 Identification cards Contactless ICC- Proximity cards Part 3: Initialization and anti collision Amendment 1: Bit rates of fc/64, fc/32 and fc/16
- ISO/IEC 14443-3 AMD1 COR1 Identification cards Contactless ICC- Proximity cards Part 3: Initialization and anti collision - Amendment 1: Bit rates of fc/64, fc/32 and fc/16 - Technical Corrigendum 1
- ISO/IEC 14443-3 AMD3 Identification cards Contactless ICC Proximity cards Part 3: initialization and anti collision - Amendment 3: Handling of reserved fields and values
- ISO/IEC 14443-4 Identification cards Contactless ICC- Proximity cards Part 4: Transmission protocol
- ISO/IEC 14443-4 AMD1 Identification cards Contactless ICC- Proximity cards Part 4: Transmission protocol Amendment 1: Handling of reserved fields and values
- ISO/IEC 7816-1 Identification cards Integrated circuits cards with contacts Part 1: Physical characteristics
- ISO/IEC 7816-2 Identification cards Integrated circuits cards with contacts Part 2:
   Dimensions and location of the contacts
- ISO/IEC 7816-3 Identification cards Integrated circuits cards with contacts Part 3: Electronics signals and transmission protocols
- ISO/IEC 7816-4 Identification cards Integrated circuits cards with contacts Part 4: Organization, security and command for interchange
- Universal Serial Bus Device Class: Smart Card CCID Specification for Integrated Circuit(s) Cards Interface Devices - Revision 1.1
- PC/SC V2 specifications: Part 3. Requirements for PC-Connected Interface Devices
   Revision 2.01.09

**End of Document**